

# THE MODULAR HEIGHT OF AN ABELIAN VARIETY AND ITS FINITENESS PROPERTY

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ABSTRACT. In this note, we propose the modular height of an abelian variety defined over a field of finite type over  $\mathbb{Q}$ . Moreover, we prove its finiteness property.

## INTRODUCTION

In the first proof of Mordell conjecture due to Faltings, the modular height of an abelian variety plays a crucial role. Especially, the finiteness property of the modular height is one of core parts for its proof. Almost every results over a number field (i.e., Tate conjecture, Shafarevich conjecture, Mordell conjecture and etc) has been generalized to a field of finite type over  $\mathbb{Q}$ . In this note, we propose the modular height of an abelian variety in general and prove its finiteness property.

Let  $K$  be a field of finite type over  $\mathbb{Q}$ . According to the paper [7], we need to fix a polarization of  $K$  in order to proceed with a theory of height functions over  $K$ , where a polarization of  $K$  is a pair  $(B; \overline{H}_1, \dots, \overline{H}_d)$  of a normal and integral projective scheme  $B$  over  $\mathbb{Z}$  and a sequence of nef  $C^\infty$ -hermitian line bundles  $\overline{H}_1, \dots, \overline{H}_d$  on  $B$  with the local ring of  $B$  at the generic point isomorphic to  $K$ . Here we assume that  $B$  is generically smooth, i.e.,  $B \times_{\mathbb{Z}} \text{Spec}(\mathbb{Q}) \rightarrow \text{Spec}(\mathbb{Q})$  is smooth.

Let  $A$  be an abelian variety over  $K$ . Then, using a Néron model of  $A$  over  $B$  in codimension one, we can introduce the Hodge sheaf  $\lambda(A/K; B)$  of  $A$  which is a reflexive sheaf of rank one on  $B$ . Moreover, we can give a locally integrable hermitian metric  $\|\cdot\|_{\text{Fal}}$  of  $\lambda(A/K; B)$  arising from the Faltings' metric of the good reduction part of the Néron model of  $A$ . Then,

$$\widehat{c}_1(\lambda(A/K; B), \|\cdot\|_{\text{Fal}})$$

can be represented by a pair of a Weil divisor and a locally integrable function. Thus, we can define the modular height of  $A$  by the following formula:

$$h(A) = \widehat{\deg} (\widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d) \cdot \widehat{c}_1(\lambda(A/K; B), \|\cdot\|_{\text{Fal}})).$$

The main purpose of this note is to prove the following result (cf. Theorem 6.1):

If  $\overline{H}_1, \dots, \overline{H}_d$  are big, then, for a fixed real number  $c$ , the set of isomorphism classes of abelian varieties  $A$  over  $K$  with  $h(A) \leq c$  is finite.

Moret-Bailly [6] proved the geometric version of the above result using geometric intersection theory instead of Arakelov geometry. In this sense, the above is an arithmetic generalization of his result.

## 1. PRELIMINARIES

**1.1. Locally integrable hermitian metric.** Let  $M$  be a complex manifold and  $L$  a line bundle on  $M$ . Let  $\|\cdot\|$  be a hermitian metric of  $L$ , that is, a collection of hermitian metrics of the stalks  $L_x$  at all  $x \in X$ . We say  $\|\cdot\|$  is a *locally integrable hermitian metric* (or  $L^1_{\text{loc}}$ -hermitian metric) if, for each  $x \in M$  and a local basis  $\omega_x$  around  $x$ ,  $\log \|\omega_x\|$  is locally integrable around  $x$ . In other words, if  $\|\cdot\|_0$  is a  $C^\infty$ -hermitian metric of  $L$ , then  $\log(\|\cdot\|/\|\cdot\|_0)$  is a locally integrable function on  $M$ .

**Lemma 1.1.1.** *Let  $M$  be a complex manifold and  $(L, \|\cdot\|)$  a hermitian line bundle on  $M$ . Let  $s$  be a non-zero meromorphic section of  $L$  over  $M$ . Then, the hermitian metric  $\|\cdot\|$  is locally integrable if and only if so is  $\log \|s\|$ .*

*Proof.* Let  $\|\cdot\|_0$  be a  $C^\infty$ -hermitian metric of  $L$ . Then,

$$\log \|s\| = \log(\|\cdot\|/\|\cdot\|_0) + \log \|s\|_0.$$

Note that  $\log \|s\|_0$  is locally integrable. Thus,  $\log \|s\|$  is locally integrable if and only if so is  $\log(\|\cdot\|/\|\cdot\|_0)$ .  $\square$

**Lemma 1.1.2.** *Let  $f : Y \rightarrow X$  be a surjective, proper and generically finite morphism of non-singular varieties over  $\mathbb{C}$ . Let  $(L, \|\cdot\|)$  be a hermitian line bundle on  $X$ . Assume that there are a non-empty Zariski open set  $U$  of  $X$  and a hermitian line bundle  $(L', \|\cdot\|')$  on  $Y$  such that  $(L', \|\cdot\|')$  is isometric to  $f^*(L, \|\cdot\|)$  over  $f^{-1}(U)$ . If  $\|\cdot\|'$  is locally integrable, then so is  $\|\cdot\|$ .*

*Proof.* Shrinking  $U$  if necessarily, we may assume that  $f$  is étale over  $U$ . We set  $V = f^{-1}(U)$ . Let  $s$  be a non-zero rational section of  $L$ . Note that there is a divisor  $D$  on  $Y$  such that  $L' = f^*(L) \otimes \mathcal{O}_Y(D)$  and  $\text{Supp}(D) \subseteq Y \setminus V$ . Thus,  $f^*(s)$  gives rise to a rational section  $s'$  of  $L'$ . Then,  $\log \|s'\|'$  is locally integrable by Lemma 1.1.1. Since  $f^*(\log \|s\|)|_V = \log \|s'\|'_V$ , we can see that  $f^*(\log \|s\|)$  is locally integrable. Let  $[f^*(\log \|s\|)]$  be a current associated to the locally integrable function  $f^*(\log \|s\|)$ . Then, [4, Proposition 1.2.5], there is a locally integrable function  $g$  on  $X$  with  $f_*[f^*(\log \|s\|)] = [g]$ . Since  $f$  is étale over  $U$ , we can easily see that

$$(f|_V)_*[(f|_V)^*(\log \|s\|_U)] = \deg(f)[\log \|s\|_U].$$

Thus,  $g = \deg(f) \log \|s\|$  almost everywhere over  $U$ . Therefore, so is over  $X$  because  $U$  is a non-empty Zariski open set of  $X$ . Hence,  $\log \|s\|$  is locally integrable on  $X$ .  $\square$

**1.2. Hermitian metric with logarithmic singularities.** Let  $X$  be a normal variety over  $\mathbb{C}$  and  $Y$  a proper closed subscheme of  $X$ . Let  $(L, \|\cdot\|)$  be a hermitian line bundle on  $X$ . We say  $(L, \|\cdot\|)$  is a  $C^\infty$ -hermitian line bundle with logarithmic singularities along  $Y$  if the following conditions are satisfied:

- (1)  $\|\cdot\|$  is  $C^\infty$  over  $X \setminus Y$ .
- (2) Let  $\|\cdot\|_0$  be a  $C^\infty$ -hermitian metric of  $L$ . For each  $x \in Y$ , let  $f_1, \dots, f_m$  be a system of local equations of  $Y$  around  $x$ , i.e.,  $Y$  is given by  $\{z \in X \mid f_1(z) = \dots = f_m(z) = 0\}$  around  $x$ . Then, there are positive constants  $C$  and  $r$  such that

$$\max \left\{ \frac{\|\cdot\|}{\|\cdot\|_0}, \frac{\|\cdot\|_0}{\|\cdot\|} \right\} \leq C \left( - \sum_{i=1}^m \log |f_i| \right)^r$$

around  $x$ .

Note that the above definition does not depend on the choice of the system of local equations  $f_1, \dots, f_m$ . Moreover, it is easy to see that if  $(L, \|\cdot\|)$  is a  $C^\infty$ -hermitian line bundle with logarithmic singularities along  $Y$ , then  $\|\cdot\|$  is locally integrable.

**Lemma 1.2.1.** *Let  $\pi : X' \rightarrow X$  be a proper morphism of normal varieties over  $\mathbb{C}$  and  $Y$  a proper closed subscheme of  $X$ . Let  $(L, \|\cdot\|)$  be a hermitian line bundle on  $X$  such that  $\|\cdot\|$  is  $C^\infty$  over  $X \setminus Y$ . If  $\pi(X') \not\subseteq Y$  and  $(L, \|\cdot\|)$  has logarithmic singularities along  $Y$ , then so does  $\pi^*(L, \|\cdot\|)$  along  $\pi^{-1}(Y)$ . Moreover, if  $\pi$  is surjective and  $\pi^*(L, \|\cdot\|)$  has logarithmic singularities along  $\pi^{-1}(Y)$ , then so does  $(L, \|\cdot\|)$  along  $Y$ .*

*Proof.* Let  $\{f_1, \dots, f_m\}$  be a system of local equations of  $Y$ . Then,  $\{\pi^*(f_1), \dots, \pi^*(f_m)\}$  is a system of local equation of  $\pi^{-1}(Y)$ . Thus, our assertion is obvious.  $\square$

**1.3. Faltings' metric.** Let  $X$  be a normal variety over  $\mathbb{C}$ . Let  $f : A \rightarrow X$  be a  $g$ -dimensional semi-abelian scheme over  $X$ . We assume that there is a non-empty Zariski open set  $U$  of  $X$  such that  $f$  is an abelian scheme over  $U$ . Let  $\lambda_{A/X}$  be the Hodge line bundle of  $A \rightarrow X$ , i.e.,

$$\lambda_{A/X} = \det(\epsilon^*(\Omega_{A/X})),$$

where  $\epsilon : X \rightarrow A$  is the identity of the semi-abelian scheme  $A \rightarrow X$ . At each  $x \in U$ , we can give a hermitian metric of  $(\lambda_{A/X})_x$  in the following way: For  $\alpha \in \bigwedge^g H^0(\Omega_{A_x})$ ,

$$(\|\alpha\|_x)^2 = \left(\frac{\sqrt{-1}}{2}\right)^g \int_{A_x} \alpha \wedge \bar{\alpha}.$$

Then, a collection of metrics  $\{\|\cdot\|_x\}_{x \in U}$  gives rise to a  $C^\infty$ -hermitian metrics  $\|\cdot\|_{\text{Fal}}$  of  $\lambda_{A/X}|_U$ . Moreover, it is well-known that  $\|\cdot\|_{\text{Fal}}$  extends to a  $C^\infty$ -hermitian metric of  $\lambda_{A/X}$  with logarithmic singularities along  $X \setminus U$  (cf. [10, Théorème 3.2 in Exposé I]). By abuse of notation, this extended metric is also denoted by  $\|\cdot\|_{\text{Fal}}$  and is called Faltings' metric of  $\lambda_{A/X}$ .

**Lemma 1.3.1.** *Let  $X$  be a smooth variety over  $\mathbb{C}$  and  $X_0$  a non-empty Zariski open set of  $X$ . Let  $A_0 \rightarrow X_0$  be an abelian scheme over  $X_0$ . Let  $\lambda$  be a line bundle on  $X$  such that  $\lambda|_{X_0}$  gives rise to the Hodge line bundle  $\lambda_{A_0/X_0}$  of  $A_0 \rightarrow X_0$ . Then, Faltings' metric  $\|\cdot\|_{\text{Fal}}$  of  $\lambda_{A_0/X_0}$  over  $X_0$  extends to a locally integrable metric of  $\lambda$  over  $X$ .*

*Proof.* By virtue of Lemma 1.5.2 (Gabber's lemma), there is a proper, surjective and generically finite morphism  $\pi : X' \rightarrow X$  of smooth varieties over  $\mathbb{C}$  such that the abelian scheme  $A_0 \times_{X_0} \pi^{-1}(X_0)$  over  $\pi^{-1}(X_0)$  extends to a semi-abelian scheme  $f' : A' \rightarrow X'$ . Let  $\lambda_{A'/X'}$  be the Hodge line bundle of  $A' \rightarrow X'$  and  $\|\cdot\|'_{\text{Fal}}$  Faltings' metric of  $\lambda_{A'/X'}$ . Then,  $(\lambda_{A'/X'}, \|\cdot\|'_{\text{Fal}})|_{X'_0}$  is isometric to  $\pi_0^*(\lambda_{A_0/X_0}, \|\cdot\|_{\text{Fal}})$ , where  $X'_0 = \pi^{-1}(X_0)$  and  $\pi_0 = \pi|_{X'_0}$ . Therefore, by Lemma 1.1.2,  $\|\cdot\|_{\text{Fal}}$  extends to a locally integrable metric over  $X$ .  $\square$

**1.4. Néron model.** Let  $R$  be a discrete valuation ring and  $K$  the quotient field of  $R$ . Let  $A$  be an abelian variety over  $K$ . Then, there is a smooth group scheme  $\mathcal{A} \rightarrow \text{Spec}(R)$  of finite type over  $R$  with the following properties (cf. [1]):

- (1) The generic fiber of  $\mathcal{A} \rightarrow \text{Spec}(R)$  is  $A$ .

- (2) Let  $\mathcal{X} \rightarrow \operatorname{Spec}(R)$  be a smooth scheme over  $R$  and  $X$  the generic fiber of  $\mathcal{X} \rightarrow \operatorname{Spec}(R)$ . Then, any morphism  $X \rightarrow A$  over  $K$  extends uniquely to a morphism  $\mathcal{X} \rightarrow \mathcal{A}$  over  $R$ .

The smooth group scheme  $\mathcal{A} \rightarrow \operatorname{Spec}(R)$  is called the Néron model of  $A$  over  $R$ . We would like to generalize it to a higher dimensional base scheme.

Let  $B$  be an irreducible noetherian normal scheme and  $K$  the function field of  $B$ , i.e., the local ring at the generic point of  $B$ . Let  $A$  be an abelian variety over  $K$ . A smooth group scheme  $f : \mathcal{A} \rightarrow B$  is called the Néron model of  $A$  over  $B$  if (1)  $f : \mathcal{A} \rightarrow B$  is of finite type over  $B$  and (2) for every point  $x \in B$  of codimension one,  $\mathcal{A}|_{\operatorname{Spec}(\mathcal{O}_x)} \rightarrow \operatorname{Spec}(\mathcal{O}_x)$  is the Néron model of  $A$  over  $\operatorname{Spec}(\mathcal{O}_x)$ . Let  $\mathcal{X} \rightarrow B$  a smooth scheme over  $B$  and  $X$  the generic fiber of  $\mathcal{X} \rightarrow B$ . Let  $\phi_K : X \rightarrow A$  be a morphism over  $K$ . If  $f : \mathcal{A} \rightarrow B$  is the Néron model of  $A$ , then, by the property (2) and Weil's extension theorem (cf. [1, Theorem 1 in 4.4]), there is the unique extension  $\phi : \mathcal{X} \rightarrow \mathcal{A}$  of  $\phi_K$  over  $B$ .

**Proposition 1.4.1.** *Let  $B$ ,  $K$  and  $A$  be same as above. Then there is a non-empty big open set  $B'$  of  $B$  (i.e.,  $\operatorname{codim}(B \setminus B') \geq 2$ ) such that a Néron model of  $A$  over  $B'$  exists. This Néron model is called a Néron model of  $A$  over  $B$  in codimension one.*

*Proof.* First of all, we can take a non-empty Zariski open set  $B_0$  of  $B$  and an abelian scheme  $\mathcal{A}_0 \rightarrow B_0$  whose generic fiber is  $A$ . Let

$$B \setminus B_0 = D_1 \cup D_2 \cup \cdots \cup D_n$$

be the irreducible decomposition of  $B \setminus B_0$ . We assume that  $\operatorname{codim}(D_i) = 1$  for  $1 \leq i \leq r$  and  $\operatorname{codim}(D_j) \geq 2$  for  $r < j \leq n$ . Let  $x_i$  be the generic point of  $D_i$ . For  $1 \leq i \leq r$ , let  $A_i \rightarrow \operatorname{Spec}(\mathcal{O}_{x_i})$  be the Néron model of  $A$  over  $\operatorname{Spec}(\mathcal{O}_{x_i})$ . Then, there are an open set  $B_i$  containing  $x_i$  and a smooth group scheme  $\mathcal{A}_i \rightarrow B_i$  as the extension of  $A_i \rightarrow \operatorname{Spec}(\mathcal{O}_{x_i})$  such that  $\mathcal{A}_i$  is of finite type over  $B_i$ . Replacing  $B_i$  by  $B_i \setminus (D_1 \cup \cdots \cup D_{i-1} \cup D_{i+1} \cup \cdots \cup D_n)$ , we may assume that

$$B_i \cap (D_1 \cup \cdots \cup D_{i-1} \cup D_{i+1} \cup \cdots \cup D_n) = \emptyset.$$

By [2, Lemma 3.3 in Chapter I] or [3, Proposition 2.7 in Chapter 1],  $\mathcal{A}_0 \rightarrow B_0$  coincides with  $\mathcal{A}_i \rightarrow B_i$  over  $B_0 \cap B_i$ . Moreover,  $B_i \cap B_j \subseteq B_0$  for  $1 \leq i \neq j \leq r$ . Therefore, if we set  $B' = B_0 \cup B_1 \cup \cdots \cup B_r$ , we have our desired smooth group scheme  $\mathcal{A} \rightarrow B'$ .  $\square$

**1.5. Semi-abelian reduction.** Let  $B$  be an irreducible normal noetherian scheme and  $K$  the local ring at the generic point of  $B$ . Let  $A$  be an abelian variety over  $K$ . We say  $A$  has semi-abelian reduction over  $B$  in codimension one if there are a big open set  $B_1$  of  $B$  (i.e.,  $\operatorname{codim}(B \setminus B') \geq 2$ ) and a semi-abelian scheme  $\mathcal{A} \rightarrow B_1$  such that the generic fiber of  $\mathcal{A} \rightarrow B_1$  is  $A$ .

**Proposition 1.5.1.** *Let  $B$ ,  $K$  and  $A$  be same as above. Let  $m$  be a positive integer which has a factorization  $m = m_1 m_2$  with  $m_1, m_2 \geq 3$  and  $m_1$  and  $m_2$  relatively prime (for example  $m = 12 = 3 \cdot 4$ ). If  $A[m](\overline{K}) \subseteq A(K)$ , then  $A$  has semi-abelian reduction in codimension one over  $B$ .*

*Proof.* Let  $x$  be a codimension one point of  $B$ . Then, there is  $m_i$  which is not divisible by the characteristic of the residue field of  $\mathcal{O}_{B,x}$ . Moreover,  $A[m_i](\bar{K}) \subseteq A(K)$ . Thus, by [9, exposé 1, Corollaire 5.18],  $A$  has semi-abelian reduction at  $x$ .

Let  $B_0$  be a non-empty Zariski open set of  $B$  such that we can take an abelian scheme  $\mathcal{A}_0 \rightarrow B_0$  whose generic fiber is  $A$ . Let

$$B \setminus B_0 = D_1 \cup D_2 \cup \cdots \cup D_n$$

be the irreducible decomposition of  $B \setminus B_0$ . We assume that  $\text{codim}(D_i) = 1$  for  $1 \leq i \leq r$  and  $\text{codim}(D_j) \geq 2$  for  $r < j \leq n$ . Let  $x_i$  be the generic point of  $D_i$ . Then, for each  $i = 1, \dots, r$ , there are an open set  $B_i$  of  $B$  and a semi-abelian scheme  $\mathcal{A}_i \rightarrow B_i$  with  $x_i \in B_i$ . Shrinking  $B_i$  if necessarily, we may assume that  $B_i \cap B_j \subseteq B_0$  for all  $1 \leq i \neq j \leq r$ . Thus, as in Proposition 1.4.1, if we set  $B' = B_0 \cup B_1 \cup \cdots \cup B_r$ , then we have our desired semi-abelian scheme  $\mathcal{A} \rightarrow B'$ .  $\square$

**Lemma 1.5.2** (Gabber's lemma). *Let  $U$  be a dense Zariski open set of an integral, normal and excellent scheme  $S$  and  $A$  an abelian scheme over  $U$ . Then, there is a proper, surjective and generically finite morphism  $\pi : S' \rightarrow S$  of integral, normal and excellent schemes such that the abelian scheme  $A \times_U f^{-1}(U)$  over  $f^{-1}(U)$  extends to an semi-abelian scheme over  $S'$*

*Proof.* In [10, Théorème and Proposition 4.10 in Exposé V], the existence of  $\pi : S' \rightarrow S$  and the extension of the abelian scheme is proved under the assumption  $\pi : S' \rightarrow S$  is proper and surjective. Let  $S'_\eta$  be the generic fiber of  $\pi$ . Let  $z$  be the closed point of  $S'_\eta$  and  $Z$  the closure of  $z$  in  $S'$ . Moreover, let  $S_1$  be the normalization of  $Z$ . Then,  $\pi_1 : S_1 \rightarrow Z \rightarrow S$  is our desired morphism.  $\square$

**1.6. The Hodge sheaf of an abelian variety.** Let  $G \rightarrow S$  be a smooth group scheme over  $S$ . Then, the Hodge line bundle  $\lambda_{G/S}$  of  $G \rightarrow S$  is given by

$$\lambda_{G/S} = \det(\epsilon^*(\Omega_{G/S})),$$

where  $\epsilon$  is the identity of the group scheme  $G \rightarrow S$ .

Let  $B$  be an irreducible and normal noetherian scheme. Let  $K$  be the function field of  $B$  (i.e., the local ring at the generic point). Let  $A$  be an abelian variety over  $K$ . By Proposition 1.4.1, there is a big open set  $B'$  of  $B$  such that the Néron model  $\mathcal{A}' \rightarrow B'$  of  $A$  over  $B'$  exists. Let  $\iota : B' \rightarrow B$  be the natural inclusion map. The Hodge sheaf  $\lambda(A/K; B)$  of  $A$  with respect to  $B$  is defined by

$$\lambda(A/K; B) = \iota_*(\lambda_{\mathcal{A}'/B'}).$$

Note that  $\lambda(A/K; B)$  is a reflexive sheaf of rank one on  $B$ .

From now on, we assume that the characteristic of  $K$  is zero. Let  $\phi : A \rightarrow A'$  be an isogeny of abelian varieties over  $K$ . Let  $\mathcal{A}$  and  $\mathcal{A}'$  be the Néron models in codimension one over  $B$  of  $A$  and  $A'$  respectively. Since there is an injective homomorphism

$$\phi^* : \lambda(A'/K; B) \rightarrow \lambda(A/K; B),$$

we can find an effective Weil divisor  $D_\phi$  such that

$$c_1(\lambda(A'/K; B)) + D_\phi = c_1(\lambda(A/K; B)).$$

The ideal sheaf  $\mathcal{O}_B(-D_\phi)$  is denoted by  $\mathcal{I}_\phi$ .

**Lemma 1.6.3.** *Let  $\phi^\vee : A'^\vee \rightarrow A^\vee$  be the dual of  $\phi : A \rightarrow A'$ . We assume that  $B = \text{Spec}(R)$  for some discrete valuation ring  $R$  and that  $A$  and  $A'$  have semi-abelian reduction over  $R$ . Then,  $\mathcal{I}_\phi \cdot \mathcal{I}_{\phi^\vee} = \deg(\phi)R$ .*

*Proof.* Let  $R'$  be an extension of  $R$  such that  $R'$  is a complete discrete valuation ring and the residue field of  $R'$  is algebraically closed. Then, by [10, Exposé VII, Théorème 2.1.1],  $(\mathcal{I}_\phi \cdot \mathcal{I}_{\phi^\vee})R' = \deg(\phi)R'$ . Here  $R'$  is faithfully flat over  $R$ . Thus,  $\mathcal{I}_\phi \cdot \mathcal{I}_{\phi^\vee} = \deg(\phi)R$ .  $\square$

**1.7. The moduli of abelian varieties.** To prove the finiteness property of the modular height, it is very important to get a good compactification of the moduli space of abelian varieties. For simplicity, an abelian variety with a polarization of degree  $l^2$  is called an  $l$ -polarized abelian variety.

**Theorem 1.7.1.** *Let  $g, l$  and  $m$  be positive integers with  $m \geq 3$ . Let  $\mathbb{A}_{g,l,m,\mathbb{Q}}$  be the moduli space of  $g$ -dimensional and  $l$ -polarized abelian varieties over  $\mathbb{Q}$  with an  $m$ -level structure. Then, there exists (a) normal projective arithmetic varieties  $\mathbb{A}_{g,l,m}^*$  and  $Y^*$  (i.e.,  $\mathbb{A}_{g,l,m}^*$  and  $Y^*$  are normal and integral schemes flat and projective over  $\mathbb{Z}$ ), (b) a surjective and generically finite morphism  $f : Y^* \rightarrow \mathbb{A}_{g,l,m}^*$ , (c) a positive integer  $n$ , (d) a line bundle  $L$  on  $\mathbb{A}_{g,l,m}^*$ , and (e) a semi-abelian scheme  $G \rightarrow Y^*$  with the following properties:*

- (1)  $\mathbb{A}_{g,l,m,\mathbb{Q}}$  is a Zariski open set of  $\mathbb{A}_{g,l,m,\mathbb{Q}}^* = \mathbb{A}_{g,l,m}^* \times_{\mathbb{Z}} \text{Spec}(\mathbb{Q})$  and  $L$  is very ample on  $\mathbb{A}_{g,l,m}^*$ .
- (2) Let  $\lambda_{G/Y^*}$  be the Hodge line bundle of the semi-abelian scheme  $G \rightarrow Y^*$ . Then,  $f^*(L) = \lambda_{G/Y^*}^{\otimes n}$  on  $Y_{\mathbb{Q}}^* = Y^* \times_{\mathbb{Z}} \text{Spec}(\mathbb{Q})$ .
- (3) Let  $U_{\mathbb{Q}} \rightarrow \mathbb{A}_{g,l,m,\mathbb{Q}}$  be the universal  $g$ -dimensional and  $l$ -principally polarized abelian scheme with an  $m$ -level structure. Let  $Y_{\mathbb{Q}}$  be the pull-back of  $\mathbb{A}_{g,l,m,\mathbb{Q}}$  by  $f_{\mathbb{Q}} : Y_{\mathbb{Q}}^* \rightarrow \mathbb{A}_{g,l,m,\mathbb{Q}}^*$ , i.e.,  $Y_{\mathbb{Q}} = (f_{\mathbb{Q}})^{-1}(\mathbb{A}_{g,l,m,\mathbb{Q}})$ . Then,  $G_{\mathbb{Q}} \rightarrow Y_{\mathbb{Q}}^*$  is an extension of the abelian scheme  $U_{\mathbb{Q}} \times_{\mathbb{A}_{g,l,m,\mathbb{Q}}} Y_{\mathbb{Q}} \rightarrow Y_{\mathbb{Q}}$ . (Note that  $G|_{Y_{\mathbb{Q}}} \rightarrow Y_{\mathbb{Q}}$  is naturally a  $g$ -dimensional and  $l$ -polarized abelian scheme with an  $m$ -level structure.)
- (4)  $L$  has a metric  $\|\cdot\|$  over  $\mathbb{A}_{g,l,m,\mathbb{Q}}(\mathbb{C})$  such that  $f^*((L, \|\cdot\|))$  is isometric to  $(\lambda_{G/Y^*}, \|\cdot\|_{\text{Fal}})^{\otimes n}$  over  $Y_{\mathbb{Q}}(\mathbb{C})$ . Moreover,  $\|\cdot\|$  has logarithmic singularities along  $\mathbb{A}_{g,l,m,\mathbb{Q}}^*(\mathbb{C}) \setminus \mathbb{A}_{g,l,m,\mathbb{Q}}(\mathbb{C})$ .

*Proof.* Let  $U_{\mathbb{Q}} \rightarrow \mathbb{A}_{g,l,m,\mathbb{Q}}$  be the universal  $l$ -polarized abelian scheme with an  $m$ -level structure. By [10, Théorème 2.2 in Exposé IV], there are a normal projective variety  $\mathbb{A}_{g,l,m,\mathbb{Q}}^*$ , a positive integer  $n$  and a very ample line bundle  $L_{\mathbb{Q}}$  on  $\mathbb{A}_{g,l,m,\mathbb{Q}}^*$  with the following properties:

- (i)  $\mathbb{A}_{g,l,m,\mathbb{Q}}$  is a Zariski open set of  $\mathbb{A}_{g,l,m,\mathbb{Q}}^*$ .
- (ii) By Gabber's lemma (cf. Lemma 1.5.2), there is a surjective and generically finite morphism  $h_{\mathbb{Q}} : S'_{\mathbb{Q}} \rightarrow \mathbb{A}_{g,l,m,\mathbb{Q}}^*$  of normal projective varieties over  $\mathbb{Q}$  such that the abelian scheme  $U_{\mathbb{Q}} \times_{\mathbb{A}_{g,l,m,\mathbb{Q}}} h_{\mathbb{Q}}^{-1}(\mathbb{A}_{g,l,m,\mathbb{Q}}) \rightarrow h_{\mathbb{Q}}^{-1}(\mathbb{A}_{g,l,m,\mathbb{Q}})$  extends to a semi-abelian scheme  $G'_{\mathbb{Q}} \rightarrow S'_{\mathbb{Q}}$ . Then,  $h_{\mathbb{Q}}^*(L_{\mathbb{Q}}) = \lambda_{G'_{\mathbb{Q}}/S'_{\mathbb{Q}}}^{\otimes n}$ .

Since  $L_{\mathbb{Q}}$  is very ample, there is an embedding  $\mathbb{A}_{g,l,m,\mathbb{Q}}^* \hookrightarrow \mathbb{P}_{\mathbb{Q}}^N$  in terms of  $L_{\mathbb{Q}}$ . Let  $\mathbb{A}_{g,l,m}^*$  be the closure of the image of

$$\mathbb{A}_{g,l,m,\mathbb{Q}}^* \hookrightarrow \mathbb{P}_{\mathbb{Q}}^N \rightarrow \mathbb{P}_{\mathbb{Z}}^N.$$

Moreover, let  $L$  be the pull-back of  $\mathcal{O}_{\mathbb{P}_{\mathbb{Z}}^N}(1)$  by the embedding  $\mathbb{A}_{g,l,m}^* \hookrightarrow \mathbb{P}_{\mathbb{Z}}^N$ . Then,  $\mathbb{A}_{g,l,m,\mathbb{Q}}^* = \mathbb{A}_{g,l,m}^* \times_{\mathbb{Z}} \text{Spec}(\mathbb{Q})$  and  $L_{\mathbb{Q}} = L|_{\mathbb{A}_{g,l,m,\mathbb{Q}}^*}$ . Let  $S'$  be the normalization of  $\mathbb{A}_{g,l,m}^*$  in the function field of  $S'_0$ . Then, there is an open set  $S'_0$  of  $S'$  such that  $G'$  is an abelian scheme over  $S'_0$  and  $G' \times_{S'} S'_0 \rightarrow S'_0$  coincides with the abelian scheme  $U_{\mathbb{Q}} \times_{\mathbb{A}_{g,l,m,\mathbb{Q}}} h_{\mathbb{Q}}^{-1}(\mathbb{A}_{g,l,m,\mathbb{Q}}) \rightarrow h_{\mathbb{Q}}^{-1}(\mathbb{A}_{g,l,m,\mathbb{Q}})$  over  $\mathbb{Q}$ . Thus, using Gabber's lemma again, there are a surjective and generically finite morphism of normal arithmetic varieties  $h_2 : Y^* \rightarrow S'$  and a semi-abelian scheme  $G \rightarrow Y^*$  such that  $G \rightarrow Y^*$  is an extension of  $G' \times_{S'} h_2^{-1}(S'_0) \rightarrow h_2^{-1}(S'_0)$ . We set  $Y_{\mathbb{Q}}^* = Y^* \times_{\mathbb{Z}} \text{Spec}(\mathbb{Q})$ . Then,  $G$  over  $Y_{\mathbb{Q}}^*$  is equal to  $G'_{\mathbb{Q}} \times_{S'_{\mathbb{Q}}} Y_{\mathbb{Q}}^* \rightarrow Y_{\mathbb{Q}}^*$  by the uniqueness of semi-abelian extension. Thus, if we set  $f = h \cdot h_1$ , then  $f^*(L) = \lambda_{G/Y^*}^{\otimes n}$  over  $Y_{\mathbb{Q}}^*$ .

Finally, since  $L_{\mathbb{Q}}|_{\mathbb{A}_{g,l,m,\mathbb{Q}}} = \lambda_{U_{\mathbb{Q}}/\mathbb{A}_{g,l,m,\mathbb{Q}}}^{\otimes n}$ , if we give  $L_{\mathbb{Q}}$  a metric arising from the Faltings' metric of  $\lambda_{U_{\mathbb{Q}}/\mathbb{A}_{g,l,m,\mathbb{Q}}}$ , then assertion of (4) follows from Lemma 1.2.1 and [10, Théorème 3.2 in Exposé I].  $\square$

**1.8. Arakelov geometry.** In this note, a flat and quasi-projective integral scheme over  $\mathbb{Z}$  is called an *arithmetic variety*. If it is smooth over  $\mathbb{Q}$ , then it is said to be *generically smooth*.

Let  $X$  be a generically smooth arithmetic variety. A pair  $(Z, g)$  is called an *arithmetic cycle of codimension  $p$*  if  $Z$  is a cycle of codimension  $p$  and  $g$  is a current of type  $(p-1, p-1)$  on  $X(\mathbb{C})$ . We denote by  $\widehat{Z}^p(X)$  the set of all arithmetic cycles on  $X$ . We set

$$\widehat{\text{CH}}^p(X) = \widehat{Z}^p(X)/\sim,$$

where  $\sim$  is the arithmetic linear equivalence.

Let  $\overline{L} = (L, \|\cdot\|)$  be a  $C^\infty$ -hermitian line bundle on  $X$ . Then, a homomorphism

$$\widehat{c}_1(\overline{L}) \cdot : \widehat{\text{CH}}^p(X) \rightarrow \widehat{\text{CH}}^{p+1}(X)$$

is define by

$$\widehat{c}_1(\overline{L}) \cdot (Z, g) = (\text{div}(s) \text{ on } Z, [-\log(\|s\|_Z^2)] + c_1(\overline{L}) \wedge g),$$

where  $s$  is a rational section of  $L|_Z$  and  $[-\log(\|s\|_Z^2)]$  is a current given by  $\phi \mapsto -\int_{Z(\mathbb{C})} \log(\|s\|_Z^2) \phi$ .

Here we assume that  $X$  is projective. Then we can define the arithmetic degree map

$$\widehat{\text{deg}} : \widehat{\text{CH}}^{\dim X}(X) \rightarrow \mathbb{R}$$

by

$$\widehat{\text{deg}} \left( \sum_P n_P P, g \right) = \sum_P n_P \log(\#(\kappa(P))) + \frac{1}{2} \int_{X(\mathbb{C})} g.$$

Thus, if  $C^\infty$ -hermitian line bundles  $\overline{L}_1, \dots, \overline{L}_{\dim X}$  are given, then we can get the number

$$\widehat{\text{deg}} (\widehat{c}_1(\overline{L}_1) \cdots \widehat{c}_1(\overline{L}_{\dim X})),$$

which is called the *arithmetic intersection number of  $\overline{L}_1, \dots, \overline{L}_{\dim X}$* .

Let  $X$  be a projective arithmetic variety. Note that  $X$  is not necessarily generically smooth. Let  $\overline{L}_1, \dots, \overline{L}_{\dim X}$  be  $C^\infty$ -hermitian line bundles on  $X$ . By [5], we can find a generic resolution of singularities  $\mu : Y \rightarrow X$ , i.e.,  $\mu : Y \rightarrow X$  is a projective and birational

morphism such that  $Y$  is a generically smooth projective arithmetic variety. Then, we can see that the arithmetic intersection number

$$\widehat{\deg}(\widehat{c}_1(\mu^*(\overline{L}_1)) \cdots \widehat{c}_1(\mu^*(\overline{L}_{\dim X})))$$

does not depend on the choice of the generic resolution of singularities  $\mu : Y \rightarrow X$ . Thus, we denote this number by

$$\widehat{\deg}(\widehat{c}_1(\overline{L}_1) \cdots \widehat{c}_1(\overline{L}_{\dim X})).$$

Let  $\overline{L}_1, \dots, \overline{L}_l$  be  $C^\infty$ -hermitian line bundles on a projective arithmetic variety  $X$ . Let  $V$  be an  $l$ -dimensional integral closed subscheme on  $X$ . Then,  $\widehat{\deg}(\widehat{c}_1(\overline{L}_1) \cdots \widehat{c}_1(\overline{L}_l) | V)$  is defined by

$$\widehat{\deg}(\widehat{c}_1(\overline{L}_1|_V) \cdots \widehat{c}_1(\overline{L}_l|_V)).$$

Moreover, for an  $l$ -dimensional cycle  $Z = \sum_i n_i V_i$  on  $X$ ,  $\widehat{\deg}(\widehat{c}_1(\overline{L}_1) \cdots \widehat{c}_1(\overline{L}_l) | Z)$  is defined by

$$\sum_i n_i \widehat{\deg}(\widehat{c}_1(\overline{L}_1) \cdots \widehat{c}_1(\overline{L}_l) | V_i).$$

**1.9. The positivity of  $C^\infty$ -hermitian  $\mathbb{Q}$ -line bundles on a projective arithmetic variety.** Let  $X$  be a projective arithmetic variety and  $\overline{L}$  a  $C^\infty$ -hermitian  $\mathbb{Q}$ -line bundle on  $X$ . Let us introduce several kinds of the positivity of  $C^\infty$ -hermitian  $\mathbb{Q}$ -line bundles.

•**ample:** We say  $\overline{L}$  is *ample* if  $L$  is ample on  $X$ ,  $c_1(\overline{L})$  is positive form on  $X(\mathbb{C})$ , and there is a positive number  $n$  such that  $L^{\otimes n}$  is generated by the set  $\{s \in H^0(X, L^{\otimes n}) \mid \|s\|_{\sup} < 1\}$ .

•**nef:** We say  $\overline{L}$  is *nef* if  $c_1(\overline{L})$  is a semipositive form on  $X(\mathbb{C})$  and, for all one-dimensional integral closed subschemes  $\Gamma$  of  $X$ ,  $\widehat{\deg}(\widehat{c}_1(\overline{L}) | \Gamma) \geq 0$ .

•**big:**  $\overline{L}$  is said to be *big* if  $\mathrm{rk}_{\mathbb{Z}} H^0(X, L^{\otimes m}) = O(m^{\dim X_{\mathbb{Q}}})$  and there is a non-zero section  $s$  of  $H^0(X, L^{\otimes n})$  with  $\|s\|_{\sup} < 1$  for some positive integer  $n$ .

• **$\mathbb{Q}$ -effective:**  $\overline{L}$  is said to be  *$\mathbb{Q}$ -effective* if there is a positive integer  $n$  and a non-zero  $s \in H^0(X, L^{\otimes n})$  with  $\|s\|_{\sup} \leq 1$ .

•**pseudo-effective:**  $\overline{L}$  is said to be *pseudo-effective* if there are (1) a sequence  $\{\overline{L}_n\}_{n=1}^\infty$  of  $\mathbb{Q}$ -effective  $C^\infty$ -hermitian  $\mathbb{Q}$ -line bundles, (2)  $C^\infty$ -hermitian  $\mathbb{Q}$ -line bundles  $\overline{E}_1, \dots, \overline{E}_r$  and (3) sequences  $\{a_{1,n}\}_{n=1}^\infty, \dots, \{a_{r,n}\}_{n=1}^\infty$  of rational numbers such that

$$\widehat{c}_1(\overline{L}) = \widehat{c}_1(\overline{L}_n) + \sum_{i=1}^r a_{i,n} \widehat{c}_1(\overline{E}_i)$$

in  $\widehat{\mathrm{CH}}^1(X) \otimes \mathbb{Q}$  and  $\lim_{n \rightarrow \infty} a_{i,n} = 0$  for all  $i$ . If  $\overline{L}_1 \otimes \overline{L}_2^{\otimes -1}$  is pseudo-effective for  $C^\infty$ -hermitian  $\mathbb{Q}$ -line bundles  $\overline{L}_1, \overline{L}_2$  on  $X$ , then we denote this by  $\overline{L}_1 \succsim \overline{L}_2$ .

**1.10. Polarization of a finitely generated field over  $\mathbb{Q}$ .** Let  $K$  be a field of finite type over the rational number field  $\mathbb{Q}$  with  $d = \mathrm{tr.deg}_{\mathbb{Q}}(K)$ . A pair  $\overline{B} = (B; \overline{H}_1, \dots, \overline{H}_d)$  of a normal projective arithmetic variety  $B$  and a sequence  $\overline{H}_1, \dots, \overline{H}_d$  of  $C^\infty$ -hermitian line bundles on  $B$  is called a *polarization* if the function field of  $B$  (i.e., the local ring at the generic point) is  $K$  and  $\overline{H}_1, \dots, \overline{H}_d$  are nef. Here  $\deg(\overline{B})$  is given by

$$\int_{B(\mathbb{C})} c_1(\overline{H}_1) \wedge \cdots \wedge c_1(\overline{H}_d).$$



Namely,

$$\deg(\overline{B}) = \begin{cases} [K : \mathbb{Q}] & \text{if } d = 0, \\ \deg((H_1)_{\mathbb{Q}} \cdots (H_d)_{\mathbb{Q}}) \text{ on } B \times_{\mathbb{Z}} \text{Spec}(\mathbb{Q}) & \text{if } d > 0. \end{cases}$$

If  $B$  is generically smooth, then the polarization  $\overline{B}$  is said to be *generically smooth*. Moreover, we say the polarization  $\overline{B} = (B; \overline{H}_1, \dots, \overline{H}_d)$  is *fine* (resp. *strictly fine*) if there is a generically finite morphism  $\pi : B' \rightarrow B$  of normal projective arithmetic varieties, a generically finite morphism  $\mu : B' \rightarrow (\mathbb{P}_{\mathbb{Z}}^1)^d$  and ample  $C^\infty$ -hermitian  $\mathbb{Q}$ -line bundles  $\overline{L}_1, \dots, \overline{L}_d$  on  $\mathbb{P}_{\mathbb{Z}}^1$  such that  $\pi^*(\overline{H}_i) \otimes \mu^*(p_i^*(\overline{L}_i))^{\otimes -1}$  is pseudo-effective (resp.  $\mathbb{Q}$ -effective) for every  $i$ , where  $p_i : (\mathbb{P}_{\mathbb{Z}}^1)^d \rightarrow \mathbb{P}_{\mathbb{Z}}^1$  is the projection to the  $i$ -th factor. Note that if  $\overline{H}_1, \dots, \overline{H}_d$  are big, then the polarization  $(B; \overline{H}_1, \dots, \overline{H}_d)$  is strictly fine. Moreover, if  $\overline{B}$  is fine, then  $\deg(\overline{B}) > 0$ .

Let us see the following proposition.

**Proposition 1.10.1.** *Let  $\overline{B} = (B; \overline{H}_1, \dots, \overline{H}_d)$  be a strictly fine polarization of  $K$ . Then, for all  $h$ , the number of prime divisors  $\Gamma$  on  $B$  with*

$$\widehat{\deg}(\widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d) | \Gamma) \leq h$$

*is finite.*

*Proof.* Let us begin with the following lemma.

**Lemma 1.10.2.** *Let  $\pi : X' \rightarrow X$  be a generically finite morphism of normal projective arithmetic varieties. Let  $\overline{H}_1, \dots, \overline{H}_d$  be nef  $C^\infty$ -hermitian line bundles on  $X$ , where  $d = \dim X_{\mathbb{Q}}$ . Then, the following are equivalent:*

- (1) *For all  $h$ , the number of prime divisors  $\Gamma$  on  $X$  with*

$$\widehat{\deg}(\widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d) | \Gamma) \leq h$$

*is finite*

- (2) *For all  $h'$ , the number of prime divisors  $\Gamma'$  on  $X'$  with*

$$\widehat{\deg}(\widehat{c}_1(\pi^*(\overline{H}_1)) \cdots \widehat{c}_1(\pi^*(\overline{H}_d)) | \Gamma') \leq h'$$

*is finite.*

*Proof.* Let  $X_0$  be the maximal Zariski open set of  $X$  such that  $X_0$  is regular and  $\pi$  is finite over  $X_0$ . Then,  $\text{codim}(X \setminus X_0) \geq 2$ . We set  $X'_0 = \pi^{-1}(X_0)$  and  $\pi_0 = \pi|_{X'_0}$ . Let  $\text{Div}(X)$  and  $\text{Div}(X')$  be the groups of Weil divisors on  $X$  and  $X'$  respectively. Then, a homomorphism  $\pi^* : \text{Div}(X) \rightarrow \text{Div}(X')$  is defined by the compositions of homomorphisms:

$$\text{Div}(X) \rightarrow \text{Div}(X_0) \xrightarrow{\pi_0^*} \text{Div}(X'_0) \rightarrow \text{Div}(X'),$$

where  $\text{Div}(X) \rightarrow \text{Div}(X_0)$  is the restriction map and  $\text{Div}(X'_0) \rightarrow \text{Div}(X')$  is defined by taking the Zariski closure of divisors. Note that  $\pi_*\pi^*(D) = \deg(\pi)D$  for all  $D \in \text{Div}(X)$ .

First, we assume (1). Note that the number of prime divisors in  $X' \setminus X'_0$  is finite, so that it is sufficient to show that the number of prime divisors  $\Gamma'$  on  $X'$  with  $\Gamma' \not\subseteq X' \setminus X'_0$  and

$$\widehat{\deg}(\widehat{c}_1(\pi^*(\overline{H}_1)) \cdots \widehat{c}_1(\pi^*(\overline{H}_d)) | \Gamma') \leq h'$$

is finite. By the projection formula,

$$\widehat{\deg}(\widehat{c}_1(\pi^*(\overline{H}_1)) \cdots \widehat{c}_1(\pi^*(\overline{H}_1)) | \Gamma') = \widehat{\deg}(\widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_1) | \pi_*(\Gamma')).$$

Thus, by (1), the number of  $(\pi_*(\Gamma'))_{\text{red}}$  is finite. On the other hand, the number of prime divisors in  $\pi^{-1}(\pi_*(\Gamma)_{\text{red}})$  is finite. Hence we get (2).

Next, we assume (2). Let  $\Gamma$  be a prime divisor on  $X$  with  $\widehat{\deg}(\widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_1) | \Gamma) \leq h$ . Then,

$$\widehat{\deg}(\widehat{c}_1(\pi^*(\overline{H}_1)) \cdots \widehat{c}_1(\pi^*(\overline{H}_1)) | \pi^*(\Gamma)) = \deg(\pi) \widehat{\deg}(\widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_1) | \Gamma) \leq \deg(\pi)h.$$

Thus, by (2), the number of  $\pi^*(\Gamma)$ 's is finite. Therefore, we get (1).  $\square$

Let us go back to the proof of Proposition 1.10.1. We use the notation in the above definition of strict finiteness. By Lemma 1.10.2, it is sufficient to show that the number of prime divisors  $\Gamma'$  on  $B'$  with

$$\widehat{\deg}(\widehat{c}_1(\pi^*(\overline{H}_1)) \cdots \widehat{c}_1(\pi^*(\overline{H}_d)) | \Gamma') \leq h$$

is finite for all  $h$ .

There are  $\mathbb{Q}$ -effective  $C^\infty$ -hermitian line bundles  $\overline{Q}_1, \dots, \overline{Q}_d$  on  $B'$  with

$$\pi^*(\overline{H}_i) = \mu^*(p_i^*(\overline{L}_i)) \otimes \overline{Q}_i$$

for all  $i$ . Note that

$$\begin{aligned} \widehat{\deg}(\widehat{c}_1(\pi^*(\overline{H}_1)) \cdots \widehat{c}_1(\pi^*(\overline{H}_d)) | \Gamma') &= \widehat{\deg}(\widehat{c}_1(\mu^*(p_1^*(\overline{L}_1))) \cdots \widehat{c}_1(\mu^*(p_d^*(\overline{L}_d))) | \Gamma') + \\ &\sum_{i=1}^d \widehat{\deg}(\widehat{c}_1(\mu^*(p_1^*(\overline{L}_1))) \cdots \widehat{c}_1(\mu^*(p_{i-1}^*(\overline{L}_{i-1}))) \cdot \widehat{c}_1(\overline{Q}_i) \cdot \widehat{c}_1(\pi^*(\overline{H}_{i+1})) \cdots \widehat{c}_1(\pi^*(\overline{H}_d)) | \Gamma'). \end{aligned}$$

Moreover, since  $\overline{Q}_i$  is  $\mathbb{Q}$ -effective, the number of prime divisors  $\Gamma'$  with

$$\widehat{\deg}(\widehat{c}_1(\mu^*(p_1^*(\overline{L}_1))) \cdots \widehat{c}_1(\mu^*(p_{i-1}^*(\overline{L}_{i-1}))) \cdot \widehat{c}_1(\overline{Q}_i) \cdot \widehat{c}_1(\pi^*(\overline{H}_{i+1})) \cdots \widehat{c}_1(\pi^*(\overline{H}_d)) | \Gamma') < 0$$

is finite for every  $i$ . Thus, we have

$$\widehat{\deg}(\widehat{c}_1(\pi^*(\overline{H}_1)) \cdots \widehat{c}_1(\pi^*(\overline{H}_d)) | \Gamma') \geq \widehat{\deg}(\widehat{c}_1(\mu^*(p_1^*(\overline{L}_1))) \cdots \widehat{c}_1(\mu^*(p_d^*(\overline{L}_d))) | \Gamma')$$

except finitely many  $\Gamma'$ . On the other hand, by [8, Proposition 4.1], the number of prime divisors  $\Gamma''$  on  $(\mathbb{P}_{\mathbb{Z}}^1)^d$  with

$$\widehat{\deg}(\widehat{c}_1(p_1^*(\overline{L}_1)) \cdots \widehat{c}_1(p_d^*(\overline{L}_d)) | \Gamma'') \leq h$$

is finite. Thus, we get our proposition.  $\square$

**Remark 1.10.3.** Let  $X$  be a projective normal arithmetic variety of dimension  $n$ . Let  $\overline{H}_1, \dots, \overline{H}_{n-2}$  be nef  $C^\infty$ -hermitian line bundles on  $X$  and  $\overline{L}$  a  $C^\infty$ -hermitian line bundle on  $X$ . If  $\overline{L}$  is pseudo-effective, then we can expect the number of prime divisors  $\Gamma$  on  $X$  with

$$\widehat{\deg}(\widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_{n-2}) \cdot \widehat{c}_1(\overline{L}) | \Gamma) < 0$$

to be finite. If it is true, then Proposition 1.10.1 holds under the weaker assumption that the polarization is fine.

## 2. HEIGHT FUNCTIONS IN TERMS OF HERMITIAN LINE BUNDLES WITH LOGARITHMIC SINGULARITIES

Let  $K$  be a finitely generated field over  $\mathbb{Q}$  with  $d = \text{tr. deg}_{\mathbb{Q}}(K)$ . Let  $\overline{B} = (B; \overline{H}_1, \dots, \overline{H}_d)$  be a fine polarization of  $K$ . Let  $X$  be a projective variety over  $K$  and  $L$  an ample line bundle on  $X$ . Moreover, let  $Y$  be a proper closed subset of  $X$ . Let  $(\mathcal{X}, \overline{\mathcal{L}})$  be a pair of a projective arithmetic variety  $\mathcal{X}$  and a hermitian line bundle  $\overline{\mathcal{L}}$  on  $\mathcal{X}$  with the following properties:

- (1) There is a morphism  $f : \mathcal{X} \rightarrow B$  such that the generic fiber of  $f$  is  $X$ .
- (2)  $\mathcal{L}$  gives rise to  $L$  on the generic fiber of  $f$ .
- (3)  $\mathcal{L}$  is ample with respect to the morphism  $f : \mathcal{X} \rightarrow B$ .
- (4) Let  $\mathcal{Y}$  be a closed set of  $\mathcal{X}$  such that  $\mathcal{Y}$  gives rise to  $Y$  on the generic fiber of  $\mathcal{X} \rightarrow B$ .

Then the hermitian metric of  $\overline{\mathcal{L}}$  has logarithmic singularities along  $\mathcal{Y}(\mathbb{C})$ .

For  $x \in X(\overline{K}) \setminus Y(\overline{K})$ , we denote by  $\Delta_x$  the Zariski closure of the image of  $\text{Spec}(\overline{K}) \rightarrow X \rightarrow \mathcal{X}$ . The height of  $x$  with respect to  $\overline{\mathcal{L}}$  is defined by

$$h_{\overline{\mathcal{L}}}(x) = \frac{\widehat{\deg}(\widehat{c}_1(f^*(\overline{H}_1)|_{\Delta_x}) \cdots \widehat{c}_1(f^*(\overline{H}_d)|_{\Delta_x}) \cdot \widehat{c}_1(\overline{\mathcal{L}}|_{\Delta_x}))}{[K(x) : K]}.$$

Note that since  $\overline{\mathcal{L}}|_{\Delta_x}$  has logarithmic singularities along  $\mathcal{Y}(\mathbb{C}) \cap \Delta_x(\mathbb{C})$ , the number

$$\widehat{\deg}(\widehat{c}_1(f^*(\overline{H}_1)|_{\Delta_x}) \cdots \widehat{c}_1(f^*(\overline{H}_d)|_{\Delta_x}) \cdot \widehat{c}_1(\overline{\mathcal{L}}|_{\Delta_x}))$$

is well defined. Then, we have the following proposition.

**Proposition 2.1.** (1) *Let us fix a positive integer  $e$ . Then, there is a constant  $C$  such that*

$$\#\{x \in X(\overline{K}) \setminus Y(\overline{K}) \mid h_{\overline{\mathcal{L}}}(x) \leq h, [K(x) : K] \leq e\} \leq C \cdot h^{d+1}$$

*for  $h \gg 0$ .*

(2) *There is a constant  $C'$  such that  $h_{\overline{\mathcal{L}}}(x) \geq C'$  for all  $x \in X(\overline{K}) \setminus Y(\overline{K})$ .*

*Proof.* We denote by  $\|\cdot\|$  the hermitian metric of  $\overline{\mathcal{L}}$ . Let  $\overline{Q}$  be an ample  $C^\infty$ -hermitian line bundle on  $B$ . Then,

$$h_{\overline{\mathcal{L}} \otimes f^*(\overline{Q}^{\otimes n})}(x) = h_{\overline{\mathcal{L}}}(x) + n \widehat{\deg}(\widehat{c}_1(\overline{Q}) \cdot \widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d)).$$

Thus, we may assume that  $\mathcal{L}$  is ample on  $\mathcal{X}$ . Moreover, replacing  $\overline{\mathcal{L}}$  by  $\overline{\mathcal{L}}^{\otimes n}$ , we may assume that  $\mathcal{I}_{\mathcal{Y}} \otimes \mathcal{L}$  is generated by global sections, where  $\mathcal{I}_{\mathcal{Y}}$  is the defining ideal sheaf of  $\mathcal{Y}$ . Let  $s_1, \dots, s_r$  be generators of  $H^0(\mathcal{X}, \mathcal{I}_{\mathcal{Y}} \otimes \mathcal{L})$ . We may view  $s_1, \dots, s_r$  as global sections of  $H^0(\mathcal{X}, \mathcal{L})$ . Then,  $\mathcal{Y} = \{x \in \mathcal{X} \mid s_1(x) = \dots = s_r(x) = 0\}$ . Here we choose a  $C^\infty$ -hermitian metric  $\|\cdot\|_0$  of  $\mathcal{L}$  such that  $\|s_i\|_0 < 1/e$  for all  $i = 1, \dots, r$ . We denote  $(\mathcal{L}, \|\cdot\|_0)$  by  $\overline{\mathcal{L}}^0$ .

Here we claim

$$[K(x) : K] h_{\overline{\mathcal{L}}^0}(x) \geq - \int_{\Delta_x(\mathbb{C})} \log \left( \max_i \|s_i\|_0 \right) c_1(f^*(\overline{H}_1)) \wedge \dots \wedge c_1(f^*(\overline{H}_d)).$$

Indeed, we can find  $s_j$  with  $s_j|_{\Delta_x} \neq 0$ . Thus,

$$\begin{aligned} [K(x) : K] h_{\overline{\mathcal{L}}}(x) &= \widehat{\deg}(\widehat{c}_1(f^*(\overline{H}_1)) \cdots \widehat{c}_1(f^*(\overline{H}_d)) \mid \operatorname{div}(s_j|_{\Delta_x})) \\ &\quad - \int_{\Delta_x(\mathbb{C})} \log(\|s_j\|_0) c_1(f^*(\overline{H}_1)) \wedge \cdots \wedge c_1(f^*(\overline{H}_d)). \end{aligned}$$

Hence, we get our claim because

$$\widehat{\deg}(\widehat{c}_1(f^*(\overline{H}_1)) \cdots \widehat{c}_1(f^*(\overline{H}_d)) \mid \operatorname{div}(s_j|_{\Delta_x})) \geq 0 \quad \text{and} \quad \|s_j\|_0 \leq \max_i \|s_i\|_0.$$

Since  $\|\cdot\|$  has logarithmic singularities, if we set  $g = \|\cdot\|/\|\cdot\|_0$ , then there is a positive constant  $a, b$  such that

$$|\log(g)| \leq a + b \log\left(-\log(\max_i \|s_i\|_0)\right).$$

Moreover,

$$|h_{\overline{\mathcal{L}}}(x) - h_{\overline{\mathcal{L}}^0}(x)| \leq \frac{1}{[K(x) : K]} \int_{\Delta_x(\mathbb{C})} |\log(g)| c_1(f^*(\overline{H}_1)) \wedge \cdots \wedge c_1(f^*(\overline{H}_d)).$$

Note that

$$\int_{\Delta_x(\mathbb{C})} c_1(f^*(\overline{H}_1)) \wedge \cdots \wedge c_1(f^*(\overline{H}_d)) = [K(x) : K] \deg(\overline{B}),$$

where  $\deg(\overline{B}) = \int_{B(\mathbb{C})} c_1(\overline{H}_1) \wedge \cdots \wedge c_1(\overline{H}_d)$  as in §§ 1.10. Thus,

$$\frac{|h_{\overline{\mathcal{L}}}(x) - h_{\overline{\mathcal{L}}^0}(x)|}{\deg(\overline{B})} \leq a + b \int_{\Delta_x(\mathbb{C})} \log\left(-\log(\max_i \|s_i\|_0)\right) \frac{c_1(f^*(\overline{H}_1)) \wedge \cdots \wedge c_1(f^*(\overline{H}_d))}{[K(x) : K] \deg(\overline{B})}.$$

On the other hand,

$$\begin{aligned} &\int_{\Delta_x(\mathbb{C})} \log\left(-\log(\max_i \|s_i\|_0)\right) \frac{c_1(f^*(\overline{H}_1)) \wedge \cdots \wedge c_1(f^*(\overline{H}_d))}{[K(x) : K] \deg(\overline{B})} \\ &\leq \log\left(\int_{\Delta_x(\mathbb{C})} -\log(\max_i \|s_i\|_0) \frac{c_1(f^*(\overline{H}_1)) \wedge \cdots \wedge c_1(f^*(\overline{H}_d))}{[K(x) : K] \deg(\overline{B})}\right). \end{aligned}$$

Hence, we obtain

$$\frac{|h_{\overline{\mathcal{L}}}(x) - h_{\overline{\mathcal{L}}^0}(x)|}{\deg(\overline{B})} \leq a + b \log\left(\frac{h_{\overline{\mathcal{L}}^0}(x)}{\deg(\overline{B})}\right).$$

Note that there is a real number  $t_0$  such that  $a + b \log(t) \leq t/2$  for all  $t \geq t_0$ . Thus,

$$h_{\overline{\mathcal{L}}^0}(x) \leq \max\{\deg(\overline{B})t_0, 2h_{\overline{\mathcal{L}}}(x)\}.$$

Therefore, if  $h \geq \deg(\overline{B})t_0/2$ , then  $h_{\overline{\mathcal{L}}}(x) \leq h$  implies  $h_{\overline{\mathcal{L}}^0}(x) \leq 2h$ . Hence, we get the first assertion by virtue of [8, Theorem 6.2.2].

Next let us see the second assertion. Since

$$\|s_i\| = g\|s_i\|_0 \leq \exp(a)\|s_i\|_0 \left(-\log(\max_j \|s_j\|_0)\right)^b \leq \exp(a)\|s_i\|_0 (-\log(\|s_i\|_0))^b$$

and the function  $t(-\log(t))^b$  is bounded above for  $0 < t \leq 1$ , there is a constant  $C$  such that  $\|s_i\| \leq C$  for all  $i$ . Thus, if we choose  $s_i$  with  $s_i|_{\Delta_x} \neq 0$ , then

$$\begin{aligned} [K(x) : K] h_{\overline{\mathcal{L}}}(x) &= \widehat{\deg}(\widehat{c}_1(f^*(\overline{H}_1)) \cdots \widehat{c}_1(f^*(\overline{H}_d)) | \operatorname{div}(s_i|_{\Delta_x})) \\ &\quad - \int_{\Delta_x(\mathbb{C})} \log(\|s_j\|) c_1(f^*(\overline{H}_1)) \wedge \cdots \wedge c_1(f^*(\overline{H}_d)) \\ &\geq -\log(C) \int_{\Delta_x(\mathbb{C})} c_1(f^*(\overline{H}_1)) \wedge \cdots \wedge c_1(f^*(\overline{H}_d)) \\ &= -\log(C) \deg(\overline{B})[K(x) : K]. \end{aligned}$$

Thus, we get (2).  $\square$

### 3. FALTINGS' MODULAR HEIGHT

Let  $K$  be a finitely generated field extension of  $\mathbb{Q}$  with  $d = \operatorname{tr.deg}_{\mathbb{Q}}(K)$  and  $\overline{B} = (B; \overline{H}_1, \dots, \overline{H}_d)$  a generically smooth polarization of  $K$ . Let  $A$  be a  $g$ -dimensional abelian variety over  $K$ . Let  $\lambda(A/K; B)$  be the Hodge sheaf of  $A$  with respect to  $B$  (cf. §§ 1.6). Note that  $\lambda(A/K; B)$  is invertible over  $B_{\mathbb{Q}}$  because  $B_{\mathbb{Q}}$  is smooth over  $\mathbb{Q}$ . Let  $\|\cdot\|_{\text{Fal}}$  be Faltings' metric of  $\lambda(A/K; B)$  over  $B(\mathbb{C})$ . Here we set

$$\overline{\lambda}^{\text{Fal}}(A/K; B) = (\lambda(A/K; B), \|\cdot\|_{\text{Fal}}),$$

which is called *the metrized Hodge sheaf of  $A$  with respect to  $B$* . By Lemma 1.3.1, the metric of  $\overline{\lambda}^{\text{Fal}}(A/K; B)$  is locally integrable. Thus, *the Faltings' modular height of  $A$  with respect to the polarization  $\overline{B}$*  is defined by

$$h_{\text{Fal}}^{\overline{B}}(A) = \widehat{\deg} \left( \widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d) \cdot \widehat{c}_1(\overline{\lambda}^{\text{Fal}}(A/K; B)) \right).$$

**Proposition 3.1.** *Let  $\pi : X' \rightarrow X$  be a generically finite morphism of normal projective generically smooth arithmetic varieties. Let  $K$  and  $K'$  be the function field of  $X$  and  $X'$  respectively. Let  $A$  be an abelian variety over  $K$ . Then, there is an effective divisor  $E$  on  $X$  with the following properties:*

- (1)  $\pi_* \widehat{c}_1(\overline{\lambda}^{\text{Fal}}(A \times_K \operatorname{Spec}(K')/K'; X')) = \deg(\pi) \widehat{c}_1(\overline{\lambda}^{\text{Fal}}(A/K; X)) + (E, 0)$ .
- (2) *For a scheme  $S$ , we denote by  $S^{(1)}$  the set of all codimension one points of  $S$ . Then,*

$$\{x \in X^{(1)} \mid A \text{ has semi-abelian reduction at } x\} \subseteq (X \setminus \operatorname{Supp}(E))^{(1)}.$$

*Moreover, if  $A \times_K \operatorname{Spec}(K')$  has semi-abelian reduction in codimension one, then*

$$\{x \in X^{(1)} \mid A \text{ has semi-abelian reduction at } x\} = (X \setminus \operatorname{Supp}(E))^{(1)}.$$

*Proof.* (1) Let  $X_0$  be the maximal Zariski open set of  $X$  such that  $X_0$  is regular and  $\pi$  is finite over  $X_0$ . Then,  $\operatorname{codim}(X \setminus X_0) \geq 2$ . We set  $X'_0 = \pi^{-1}(X_0)$  and  $\pi_0 = \pi|_{X'_0}$ . Let  $\operatorname{Div}(X)$  and  $\operatorname{Div}(X')$  be the groups of Weil divisors on  $X$  and  $X'$  respectively. Then, a homomorphism  $\pi^* : \operatorname{Div}(X) \rightarrow \operatorname{Div}(X')$  is defined by the compositions of homomorphisms:

$$\operatorname{Div}(X) \rightarrow \operatorname{Div}(X_0) \xrightarrow{\pi_0^*} \operatorname{Div}(X'_0) \rightarrow \operatorname{Div}(X'),$$

where  $\text{Div}(X) \rightarrow \text{Div}(X_0)$  is the restriction map and  $\text{Div}(X'_0) \rightarrow \text{Div}(X')$  is defined by taking the Zariski closure of divisors. Note that  $\pi_*\pi^*(D) = \deg(\pi)D$  for all  $D \in \text{Div}(X)$ .

Let  $X_1$  (resp.  $X'_1$ ) be a Zariski open sets of  $X$  (resp.  $X'$ ) such that  $\text{codim}(X \setminus X_1) \geq 2$  (resp.  $\text{codim}(X' \setminus X'_1) \geq 2$ ) and the Néron model  $G$  (resp.  $G'$ ) exists over  $X_1$  (resp.  $X'_1$ ). Clearly we may assume that  $X_1 \subseteq X_0$  and  $\pi^{-1}(X_1) \subseteq X'_1$ . We set  $X'_2 = \pi^{-1}(X_1)$  and  $G'_2 = G' \times_{X'_1} X'_2$ . Since  $G'_2$  is the Néron model of  $A \times_K \text{Spec}(K')$  over  $X'_2$ , there is a homomorphism  $G \times_{X_1} X'_2 \rightarrow G'_2$  over  $X'_2$ . Thus, we get a homomorphism

$$(3.1.1) \quad \alpha : \pi^*\epsilon^* \left( \bigwedge^g \Omega_{G/X_1} \right) \rightarrow \epsilon'^* \left( \bigwedge^g \Omega_{G'_2/X'_2} \right),$$

where  $\epsilon$  and  $\epsilon'$  are the zero sections of  $G$  and  $G'$  respectively.

Let  $s$  be a non-zero rational section of  $\lambda(A; X)$ . Then,

$$\widehat{c}_1(\overline{\lambda}^{\text{Fal}}(A/K; X)) = (\text{div}(s), -\log \|s\|_{\text{Fal}}).$$

Moreover, since  $\pi^*(s)$  gives rise to a non-zero rational section of  $\lambda(A \times_K \text{Spec}(K'); X')$ ,

$$\widehat{c}_1(\overline{\lambda}^{\text{Fal}}(A \times_K \text{Spec}(K')/K'; X')) = (\text{div}(\pi^*(s)), -\pi^*(\log \|s\|_{\text{Fal}})),$$

where  $\pi^*(\log \|s\|_{\text{Fal}})$  is the pull-back of  $\log \|s\|_{\text{Fal}}$  by  $\pi$  as a function on a dense open set of  $X(\mathbb{C})$ . Let  $\Gamma_1, \dots, \Gamma_r$  be all prime divisors in  $X' \setminus X'_2$ . Note that  $\pi_*(\Gamma_i) = 0$  for all  $i$ . Then, since (3.1.1) is injective, there is an effective divisor  $E'$  and integers  $a_1, \dots, a_r$  such that

$$\text{div}(\pi^*(s)) = \pi^*(\text{div}(s)) + E' + \sum_{i=1}^r a_i \Gamma_i.$$

Note that  $E' = \sum_{x'} \text{length}_{\mathcal{O}_{X',x'}}(\text{Coker}(\alpha)_{x'}) \overline{\{x'\}}$ , where  $x'$ 's run over all codimension one points of  $X'_2$ . Thus, since  $\pi_*(\pi^*(\text{div}(s)), -\pi^*(\log \|s\|_{\text{Fal}})) = \deg(\pi)(\text{div}(s), -\log \|s\|_{\text{Fal}})$ , we have

$$\pi_*\widehat{c}_1(\overline{\lambda}^{\text{Fal}}(A \times_K \text{Spec}(K')/K'; X')) = \deg(\pi)\widehat{c}_1(\overline{\lambda}^{\text{Fal}}(A/K; X)) + (\pi_*(E'), 0).$$

Therefore, we get (1).

Next let us see (2). We assume that  $A$  has semi-abelian reduction at  $x$ . Then, there is a open set  $U$  such that  $x \in U$  and  $G^\circ|_U$  is semi-abelian. Thus,  $G^\circ|_U \times_U \pi^{-1}(U)$  is semi-abelian. Hence  $(G'|_{\pi^{-1}(U)})^\circ$  is isomorphic to  $G^\circ|_U \times_U \pi^{-1}(U)$ . Thus  $x \notin E_{\text{red}}$ . Conversely, we assume that  $A \times_K \text{Spec}(K')$  has semi-abelian reduction in codimension one and  $x \notin E_{\text{red}}$ . Then, there is an open set  $U \subset X_1$  such that  $x \in U$  and the homomorphism

$$\alpha : \pi^*\epsilon^* \left( \bigwedge^g \Omega_{G/X_1} \right) \rightarrow \epsilon'^* \left( \bigwedge^g \Omega_{G'_2/X'_2} \right)$$

is an isomorphism over  $\pi^{-1}(U)$ , that is, so is  $\pi^*\epsilon^*(\Omega_{G/X_1}) \rightarrow \epsilon'^*(\Omega_{G'_2/X'_2})$  over  $\pi^{-1}(U)$ . Thus,  $G^\circ \times_{X_1} X'_2 \rightarrow (G'_2)^\circ$  is an isomorphism over  $\pi^{-1}(U)$ . Therefore,  $G^\circ$  is semi-abelian over  $U$ .  $\square$

**Proposition 3.2.** *Let  $\phi : A \rightarrow A'$  be an isogeny of abelian varieties over  $K$ . Then*

$$\begin{aligned} \widehat{\deg} \left( \widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d) \cdot \widehat{c}_1(\overline{\lambda}^{\text{Fal}}(A'/K; B)) \right) - \widehat{\deg} \left( \widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d) \cdot \widehat{c}_1(\overline{\lambda}^{\text{Fal}}(A/K; B)) \right) \\ = \frac{1}{2} \log(\deg(\phi)) \deg(\overline{B}) - \widehat{\deg} \left( \widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d) \mid D_\phi \right), \end{aligned}$$

where  $D_\phi$  is an effective divisor given in §§ 1.6 and  $\deg(\overline{B}) = \int_{B(\mathbb{C})} c_1(\overline{H}_1) \wedge \cdots \wedge c_1(\overline{H}_d)$  as in §§ 1.10.

*Proof.* This follows from the fact that  $\overline{\lambda}^{\text{Fal}}(A'/K; B) \otimes (\mathcal{O}_B(D_\phi), \deg(\phi)| \cdot |_{\text{can}})$  is isometric to  $\overline{\lambda}^{\text{Fal}}(A/K; B)$ .  $\square$

**Proposition 3.3.** *If an abelian variety  $A$  over  $K$  has semi-abelian reduction in codimension one over  $B$ . Then,*

$$\widehat{\deg} \left( \widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d) \cdot \widehat{c}_1(\overline{\lambda}^{\text{Fal}}(A/K; B)) \right) = \widehat{\deg} \left( \widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d) \cdot \widehat{c}_1(\overline{\lambda}^{\text{Fal}}(A^\vee/K; B)) \right),$$

where  $A^\vee$  is the dual abelian variety of  $A$ .

*Proof.* Let  $\phi : A \rightarrow A^\vee$  be an isogeny over  $K$  in terms of ample line bundle on  $A$ . Let  $\phi^\vee : A \rightarrow A^\vee$  be the dual of  $\phi$ . Then, by Proposition 3.2,

$$\begin{aligned} 2 \left( \widehat{\deg} \left( \widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d) \cdot \widehat{c}_1(\overline{\lambda}^{\text{Fal}}(A^\vee/K; B)) \right) - \widehat{\deg} \left( \widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d) \cdot \widehat{c}_1(\overline{\lambda}^{\text{Fal}}(A/K; B)) \right) \right) \\ = \log(\deg(\phi)) \deg(\overline{B}) - \widehat{\deg} \left( \widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d) \mid D_\phi + D_{\phi^\vee} \right). \end{aligned}$$

On the other hand, by Lemma 1.6.3,  $\mathcal{I}_\phi \cdot \mathcal{I}_{\phi^\vee} = \deg(\phi) \mathcal{O}_B$ . Thus,  $(\mathcal{O}_B(D_\phi + D_{\phi^\vee}), | \cdot |_{\text{can}})$  is isometric to  $(\mathcal{O}_B, \deg(\phi)^{-2} | \cdot |_{\text{can}})$ . Therefore, we get our proposition.  $\square$

Let  $K$  be a finitely generated field extension of  $\mathbb{Q}$  with  $d = \text{tr.deg}_{\mathbb{Q}}(K)$  and  $\overline{B} = (B; \overline{H}_1, \dots, \overline{H}_d)$  a polarization of  $K$ . Let  $A$  be an abelian variety over a finite extension field  $K'$  of  $K$ . Let  $m$  be a positive integer such that  $m$  has a decomposition  $m = m_1 m_2$  with  $(m_1, m_2) = 1$  and  $m_1, m_2 \geq 3$ . Let us consider a natural homomorphism

$$\rho(A, m) : \text{Gal}(\overline{K}/K) \rightarrow \text{Aut}(A[m](\overline{K})) \simeq \text{Aut}((\mathbb{Z}/m\mathbb{Z})^{2g}).$$

Then, there is a Galois extension  $K(A, m)$  of  $K'$  with  $\text{Ker } \rho(A, m) = \text{Gal}(\overline{K}/K(A, m))$ . Note that

$$\text{Gal}(K(A, m)/K') = \text{Gal}(\overline{K}/K) / \text{Ker } \rho(A, m) \hookrightarrow \text{Aut}((\mathbb{Z}/m\mathbb{Z})^{2g}).$$

Let  $B''$  be a generically smooth, normal and projective arithmetic variety with the following properties:

- (i) The function field  $K''$  of  $B''$  is an extension of  $K(A, m)$ .
- (ii) The natural rational map  $f : B'' \rightarrow B$  induced by  $K \hookrightarrow K''$  is actually a morphism.

Then, we have the following.

**Proposition 3.4.** (1) *The number*

$$\frac{1}{[K'' : K]} \widehat{\deg} \left( \widehat{c}_1(\lambda(A \times_{K'} \text{Spec}(K'')/K''; B'')) \cdot \widehat{c}_1(f^*(\overline{H}_1)) \cdots \widehat{c}_1(f^*(\overline{H}_1)) \right)$$

*does not depend on the choice of  $m$  and  $B''$ , so that we denote it by  $h_{\text{mod}}^{\overline{B}}(A)$ .*

(2)  $h_{\text{mod}}^{\overline{B}}(A) \leq h_{\text{Fal}}^{\overline{B}}(A)$ .

*Proof.* These are consequences of Proposition 1.5.1, Proposition 3.1 and the projection formula.  $\square$

**Proposition 3.5.** *Let  $K$  be a finitely generated extension field of  $\mathbb{Q}$ . For abelian varieties  $A$  and  $A'$  over  $K$ ,  $h_{\text{Fal}}^{\overline{B}}(A \times_K A') = h_{\text{Fal}}^{\overline{B}}(A) + h_{\text{Fal}}^{\overline{B}}(A')$ . Moreover,  $h_{\text{mod}}^{\overline{B}}(A \times_K A') = h_{\text{mod}}^{\overline{B}}(A) + h_{\text{mod}}^{\overline{B}}(A')$ .*

*Proof.* Let  $\mathcal{A}$  and  $\mathcal{A}'$  be the Néron models of  $A$  and  $A'$  over  $B_0$ , where  $B_0$  is a big open set of  $B$ . Then,  $\mathcal{A} \times_{B_0} \mathcal{A}'$  is the Néron model of  $A \times_K A'$  over  $B_0$ . Thus,

$$\widehat{c}_1(\overline{\lambda}_{\mathcal{A} \times_{B_0} \mathcal{A}'/B}^{\text{Fal}}) = \widehat{c}_1(\overline{\lambda}_{\mathcal{A}/B_0}^{\text{Fal}}) + \widehat{c}_1(\overline{\lambda}_{\mathcal{A}'/B_0}^{\text{Fal}}).$$

Hence, we get our lemma.  $\square$

#### 4. WEAK FINITENESS

Let us fix positive integers  $g, l$  and  $m$  such that  $m$  has a decomposition  $m = m_1 m_2$  with  $(m_1, m_2) = 1$  and  $m_1, m_2 \geq 3$ . Let  $\mathbb{A}_{g,l,m,\mathbb{Q}}, f : Y \rightarrow \mathbb{A}_{g,l,m}^*, \overline{L}, n$  and  $G \rightarrow Y$  be the same as in Proposition 1.7.1.

Let  $K$  be a finitely generated field extension of  $\mathbb{Q}$  with  $d = \text{tr.deg}_{\mathbb{Q}}(K)$  and let  $\overline{B} = (B; \overline{H}_1, \dots, \overline{H}_d)$  be a generically smooth polarization of  $K$ .

Let  $A$  be a  $g$ -dimensional and  $l$ -polarized abelian variety over a finite extension  $K'$  of  $K$  with an  $m$ -level structure. Let  $x_A : \text{Spec}(K') \rightarrow \mathbb{A}_{g,l,m}^*$  be the morphism induced by  $A$ . Moreover, let  $y_A : \text{Spec}(K') \rightarrow \mathbb{A}_{g,l,m}^* \times_{\mathbb{Z}} \text{Spec}(K)$  be the morphism induced by  $x_A$ . Let  $\Delta_A$  be the closure of the image of  $y_A$  in  $\mathbb{A}_{g,l,m}^* \times_{\mathbb{Z}} B$ . Let  $p : \mathbb{A}_{g,l,m}^* \times_{\mathbb{Z}} B \rightarrow \mathbb{A}_{g,l,m}^*$  and  $q : \mathbb{A}_{g,l,m}^* \times_{\mathbb{Z}} B \rightarrow B$  be the projections to the first factor and the second factor respectively. Here, we set

$$h_{\overline{L}}^{\overline{B}}(A) = \frac{1}{\deg(\Delta_A \rightarrow B)} \widehat{\deg} \left( \widehat{c}_1(q^*(\overline{H}_1)|_{\Delta_A}) \cdots \widehat{c}_1(q^*(\overline{H}_d)|_{\Delta_A}) \cdot \widehat{c}_1(p^*(\overline{L})|_{\Delta_A}) \right)$$

which is nothing more than the height of  $y_A \in (\mathbb{A}_{g,l,m}^* \times_{\mathbb{Z}} \text{Spec}(K))(\overline{K})$  with respect to  $\overline{L}$  and  $\overline{B}$ . Then, we have the following proposition.

**Proposition 4.1.** *There is a constant  $N(g, l, m)$  depending only on  $g, l, m$  such that*

$$|h_{\overline{L}}^{\overline{B}}(A) - n h_{\text{mod}}^{\overline{B}}(A)| \leq \log(N(g, l, m)) \deg(\overline{B}).$$

*for every  $g$ -dimensional and  $l$ -polarized abelian variety  $A$  over  $\overline{K}$  with an  $m$ -level structure, where*

$$\deg(\overline{B}) = \int_{B(\mathbb{C})} c_1(\overline{H}_1) \wedge \cdots \wedge c_1(\overline{H}_d).$$



*Proof.* Let  $A$  be a  $g$ -dimensional and  $l$ -polarized abelian variety over  $\overline{K}$  with an  $m$ -level structure. Let  $K'$  be the minimal finite extension of  $K$  such that  $A$ , the polarization of  $A$ , the  $m$ -level structure of  $A$  are defined over  $K'$ . Let  $x_A : \text{Spec}(K') \rightarrow \mathbb{A}_{g,l,m}^*$  be the morphism induced by  $A$ . Moreover, let  $y_A : \text{Spec}(K') \rightarrow \mathbb{A}_{g,l,m}^* \times_{\mathbb{Z}} B$  be the induced morphism by  $x_A$ .

Let  $\text{Spec}(K_1)$  be a closed point of  $Y \times_{\mathbb{A}_{g,l,m}^*} \text{Spec}(K')$ . Then, we have the following commutative diagram:

$$\begin{array}{ccc} Y & \longleftarrow & \text{Spec}(K_1) \\ f \downarrow & & \downarrow \\ \mathbb{A}_{g,l,m}^* & \xleftarrow{x_A} & \text{Spec}(K') \end{array}$$

Here, two  $l$ -polarized abelian varieties  $A \times_{K'} \text{Spec}(K_1)$  and  $G \times_Y \text{Spec}(K_1)$  with  $m$ -level structures gives rise to the same  $K_1$ -valued point of  $\mathbb{A}_{g,l,m}^*$ . Thus,  $A \times_{K'} \text{Spec}(K_1)$  is isomorphic to  $G \times_Y \text{Spec}(K_1)$  over  $K_1$  as  $l$ -polarized abelian varieties with  $m$ -level structures because  $m \geq 3$ . The above commutative diagram gives rise to the commutative diagram:

$$\begin{array}{ccc} Y \times_{\mathbb{Z}} B & \longleftarrow & \text{Spec}(K_1) \\ \downarrow & & \downarrow \\ \mathbb{A}_{g,l,m}^* \times_{\mathbb{Z}} B & \xleftarrow{y_A} & \text{Spec}(K') \end{array}$$

Let  $B_1$  be a generic resolution of singularities of the normalization of  $B$  in  $K_1$ . Note that a generic resolution of singularities (a resolution of singularities over  $\mathbb{Q}$ ) exists by Hironaka's theorem [5]. Then, we have rational maps  $B_1 \dashrightarrow Y \times_{\mathbb{Z}} B$  and  $B_1 \dashrightarrow \Delta_A$  such that a composition  $B_1 \dashrightarrow \Delta_A \rightarrow \mathbb{A}_{g,l,m}^* \times_{\mathbb{Z}} B$  of rational maps is equal to  $B_1 \dashrightarrow Y \times_{\mathbb{Z}} B \rightarrow \mathbb{A}_{g,m}^* \times_{\mathbb{Z}} B$ . Thus, there are a birational morphism  $B_2 \rightarrow B_1$  of projective and generically smooth arithmetic varieties, a morphism  $B_2 \rightarrow \Delta_A$  and a morphism  $B_2 \rightarrow Y \times_{\mathbb{Z}} B$  with the following commutative diagram:

$$\begin{array}{ccccc} B_1 & \xleftarrow{\gamma} & B_2 & \xrightarrow{\beta} & Y \times_{\mathbb{Z}} B \\ \pi_1 \downarrow & & \downarrow \alpha & & f \times \text{id} \downarrow \\ B & \longleftarrow & \Delta_A & \xrightarrow{\iota} & \mathbb{A}_{g,l,m}^* \times_{\mathbb{Z}} B \end{array}$$

Then,

$$\begin{aligned} h_{\overline{L}}^{\overline{B}}(A) &= \frac{\widehat{\deg}(\widehat{c}_1(\iota^*(p^*(\overline{L}))) \cdot \widehat{c}_1(\iota^*(q^*(\overline{H}_1))) \cdots \widehat{c}_1(\iota^*(q^*(\overline{H}_1))))}{\deg(\Delta_A \rightarrow B)} \\ &= \frac{\widehat{\deg}(\widehat{c}_1(\alpha^*(\iota^*(p^*(\overline{L})))) \cdot \widehat{c}_1(\alpha^*(\iota^*(q^*(\overline{H}_1)))) \cdots \widehat{c}_1(\alpha^*(\iota^*(q^*(\overline{H}_1))))}{\deg(B_2 \rightarrow B)} \\ &= \frac{\widehat{\deg}(\widehat{c}_1(\beta^*((f \times \text{id})^*(p^*(\overline{L})))) \cdot \widehat{c}_1(\gamma^*(\pi_1^*(\overline{H}_1))) \cdots \widehat{c}_1(\gamma^*(\pi_1^*(\overline{H}_1))))}{\deg(B_2 \rightarrow B)}. \end{aligned}$$

On the other hand, since  $f^*(L) = \lambda_{G/Y}^{\otimes n}$  over  $Y \times_{\mathbb{Z}} \text{Spec}(\mathbb{Q})$ , there is an integer  $N$  depending only on  $g, l$  and  $m$  such that

$$Nf^*(L) \subseteq \lambda_{G/Y}^{\otimes n} \subseteq (1/N)f^*(L)$$

on  $Y$ . Thus,

$$N\beta^*(f \times \text{id})^*(L) \subseteq (\lambda_{G \times_{\mathbb{Z}} B/Y \times_{\mathbb{Z}} B})^{\otimes n} \subseteq (1/N)\beta^*(f \times \text{id})^*(L).$$

Therefore,

$$\begin{aligned} & - \frac{\widehat{\deg}(\widehat{c}_1(\gamma^*(\pi_1^*(\overline{H}_1))) \cdots \widehat{c}_1(\gamma^*(\pi_1^*(\overline{H}_1))) | (N))}{\deg(B_2 \rightarrow B)} + h_{\overline{L}}^{\overline{B}}(A) \\ & \leq \frac{n \widehat{\deg}(\widehat{c}_1(\overline{\lambda}_{G \times_Y B_2/B_2}^{\text{Fal}}) \cdot \widehat{c}_1(\gamma^*(\pi_1^*(\overline{H}_1))) \cdots \widehat{c}_1(\gamma^*(\pi_1^*(\overline{H}_1))))}{\deg(B_2 \rightarrow B)} \\ & \leq \frac{\widehat{\deg}(\widehat{c}_1(\gamma^*(\pi_1^*(\overline{H}_1))) \cdots \widehat{c}_1(\gamma^*(\pi_1^*(\overline{H}_1))) | (N))}{\deg(B_2 \rightarrow B)} + h_{\overline{L}}^{\overline{B}}(A). \end{aligned}$$

Note that

$$\widehat{\deg}(\widehat{c}_1(\gamma^*(\pi_1^*(\overline{H}_1))) \cdots \widehat{c}_1(\gamma^*(\pi_1^*(\overline{H}_1))) | (N)) = \log(N) \deg(B_2 \rightarrow B) \deg(\overline{B}).$$

By Proposition 1.5.1, we can see that  $A \times_{K'} \text{Spec}(K_1)$  has semi-abelian reduction in codimension one over  $B_1$ . On the other hand, by Proposition 3.1,

$$\gamma_*(\widehat{c}_1(\overline{\lambda}_{G \times_Y B_2/B_2}^{\text{Fal}})) = \widehat{c}_1(\overline{\lambda}^{\text{Fal}}(A \times_{K'} \text{Spec}(K_1)/K_1; B_1)).$$

Therefore, we get

$$|h_{\overline{L}}^{\overline{B}}(A) - nh_{\text{mod}}^{\overline{B}}(A)| \leq \log(N) \deg(\overline{B}).$$

□

**Corollary 4.2.** *Let  $K$  be a finitely generated field extension of  $\mathbb{Q}$  with  $d = \text{tr. deg}_{\mathbb{Q}}(K)$  and  $\overline{B} = (B; \overline{H}_1, \dots, \overline{H}_d)$  a generically smooth and fine polarization of  $K$ . Let us fix a positive integer  $l$ . Then, we have the following:*

- (1) *There is a constant  $C$  such that  $C \leq h_{\text{mod}}^{\overline{B}}(A)$  for any  $l$ -polarized abelian variety  $A$  over  $\overline{K}$ .*
- (2) *Let us fix a positive integer  $e$ . Then, there is a constant  $C'$  such that the number of the set*

$$\left\{ A \times_{K'} \text{Spec}(\overline{K}) \left| \begin{array}{l} A \text{ is a } g\text{-dimensional and } l\text{-polarized abelian variety over} \\ \text{a finite extension } K' \text{ of } K \text{ with } [K' : K] \leq e \text{ and } h_{\text{mod}}^{\overline{B}}(A) \leq h. \end{array} \right. \right\} / \simeq_{\overline{K}}$$

*is less than or equal to  $C' \cdot h^{d+1}$  for  $h \gg 0$ .*

*Proof.* Let us fix a positive number  $m$  such that  $m$  has a decomposition  $m = m_1 m_2$  with  $(m_1, m_2) = 1$  and  $m_1, m_2 \geq 3$ . Then, any  $l$ -polarized abelian variety over  $\overline{K}$  has a  $m$ -level structure. Thus, (1) is a consequence of Proposition 2.1 and Proposition 4.1.

Let  $A$  be an  $l$ -polarized abelian variety over a finite extension  $K'$  of  $K$ . Let  $K''$  be the minimal extension of  $K'$  such that  $A[m](\overline{K}) \subseteq A(K'')$ . Then,  $[K'' : K'] \leq \#(\text{Aut}(\mathbb{Z}/m\mathbb{Z})^{2g})$ . Thus, by using Proposition 2.1 and Proposition 4.1, we get (2). □

## 5. GALOIS DESCENT

Let  $A$  be a  $g$ -dimensional abelian variety over a field  $k$ . Let  $m$  be a positive integer prime to the characteristic of  $k$ . Note that an  $m$ -level structure  $\alpha$  of  $A$  over a finite extension  $k'$  of  $k$  is an isomorphism  $\alpha : (\mathbb{Z}/m\mathbb{Z})^{2g} \rightarrow A[m](k')$ . If  $k'$  is a finite Galois extension over  $k$ , then we have a homomorphism

$$\epsilon(k'/k, A, \alpha) : \text{Gal}(k'/k) \rightarrow \text{Aut}((\mathbb{Z}/m\mathbb{Z})^{2g})$$

given by  $\epsilon(k'/k, A, \alpha)(\sigma) = \alpha^{-1} \cdot \sigma_A \cdot \alpha$ , where

$$\sigma_A : A \times_k \text{Spec}(k') \xrightarrow{\text{id}_A \times (\sigma^{-1})^a} A \times_k \text{Spec}(k')$$

is the natural morphism arising from  $\sigma$ . Note that  $(\sigma \cdot \tau)_A = \sigma_A \cdot \tau_A$ .

**Lemma 5.1.** *Let  $(A, \xi)$  and  $(A', \xi')$  be polarized abelian varieties over a field  $k$ . Let  $m$  be a positive integer prime to the characteristic of  $k$ . Let  $\alpha$  and  $\alpha'$  be  $m$ -level structures of  $A$  and  $A'$  respectively over a finite Galois extension  $k'$  of  $k$ . Let  $\phi : (A, \xi) \times_k \text{Spec}(k') \rightarrow (A', \xi') \times_k \text{Spec}(k')$  be an isomorphism as polarized abelian varieties over  $k'$ . If  $m \geq 3$ ,  $\phi \cdot \alpha = \alpha'$  and  $\epsilon(k'/k, A, \alpha) = \epsilon(k'/k, A', \alpha')$ , then  $\phi$  descends to an isomorphism  $(A, \xi) \rightarrow (A', \xi')$  over  $k$ .*

*Proof.* For  $\sigma \in \text{Gal}(k'/k)$ , let us consider a morphism

$$\phi_\sigma = \sigma_{A'}^{-1} \cdot \phi \cdot \sigma_A : A \times_k \text{Spec}(k') \rightarrow A' \times_k \text{Spec}(k').$$

First of all,  $\phi_\sigma$  is a morphism over  $k'$ . We claim that  $\phi_\sigma \cdot \alpha = \alpha'$ . Indeed, since  $\alpha^{-1} \cdot \sigma_A \alpha = \alpha'^{-1} \cdot \sigma_{A'} \cdot \alpha'$ , we have

$$\phi_\sigma \cdot \alpha = \sigma_{A'}^{-1} \cdot \phi \cdot \alpha \cdot \alpha^{-1} \cdot \sigma_A \cdot \alpha = \sigma_{A'}^{-1} \cdot \alpha' \cdot \alpha'^{-1} \cdot \sigma_{A'} \cdot \alpha' = \alpha'.$$

Thus,  $\phi_\sigma$  preserves the level structures of  $A \times_k \text{Spec}(k')$  and  $A' \times_k \text{Spec}(k')$ . Hence, since  $m \geq 3$  and  $\phi_\sigma \cdot \phi^{-1}$  preserve the polarization  $\xi$  of  $A$  over  $k'$  (hence  $(\phi_\sigma \cdot \phi^{-1})^N = \text{id}$  for  $N \gg 1$ ), by virtue of Serre's theorem, we have  $\phi_\sigma = \phi$ , that is,

$$\phi \cdot \sigma_A = \sigma_{A'} \cdot \phi$$

for all  $\sigma \in \text{Gal}(k'/k)$ . Therefore,  $\phi$  descends to an isomorphism  $(A, \xi) \rightarrow (A', \xi')$  over  $k$ .  $\square$

**Proposition 5.2.** *Let  $B$  be an irreducible normal scheme such that  $B$  is of finite type over  $\mathbb{Z}$ . Let  $K$  be the local ring at the generic point of  $B$ . For a fixed  $g$ -dimensional polarized abelian variety  $(C, \xi_C)$  over  $\overline{K}$ , we set*

$$\mathcal{S} = \left\{ (A, \xi) \left| \begin{array}{l} (A, \xi) \text{ is a polarized abelian variety over } K \text{ with } (A, \xi) \times_K \text{Spec}(\overline{K}) \simeq (C, \xi_C) \\ \text{and } A \text{ has semi-abelian reduction over } B \text{ in codimension one.} \end{array} \right. \right\}.$$

*Then, the number of isomorphism classes in  $\mathcal{S}$  is finite.*

*Proof.* For  $(A, \xi) \in \mathcal{S}$ , let  $B_A$  be a big open set of  $B$  over which we have a semi-abelian extension  $\mathcal{X}_A \rightarrow B_A$  of  $A$ . Moreover, let  $BR(A)$  be the set of codimension one points  $x$  of  $B_A$  such that the fiber of  $\mathcal{X}_A$  over  $x$  is not an abelian variety.

**Claim 5.2.1.** *For any  $(A, \xi), (A', \xi') \in \mathcal{S}$ ,  $BR(A) = BR(A')$ .*

Since  $A \times_K \text{Spec}(\overline{K}) \simeq A' \times_K \text{Spec}(\overline{K})$ , there is a finite extension  $K'$  of  $K$  with  $A \times_K \text{Spec}(K') \simeq A' \times_K \text{Spec}(K')$ . Let  $\pi : B' \rightarrow B$  be the normalization of  $B$  in  $K'$ . Then,  $\mathcal{X}_{A \times_{B_A} \pi^{-1}(B_A)}$  is isomorphic to  $\mathcal{X}_{A' \times_{B_{A'}} \pi^{-1}(B_{A'})}$  over  $\pi^{-1}(B_A \cap B_{A'})$ . Thus,  $\pi^{-1}(BR(A)) = \pi^{-1}(BR(A'))$ . Therefore, we get our claim.

Let us fix a positive integer  $m \geq 3$  and  $A_0 \in \mathcal{S}$ . We set

$$U = B \setminus \left( (B \times_{\mathbb{Z}} \text{Spec}(\mathbb{Z}/m\mathbb{Z})) \cup \text{Sing}(B) \cup \bigcup_{x \in BR(A_0)} \overline{\{x\}} \right).$$

Then,  $U$  is regular and of finite type over  $\mathbb{Z}$ . The characteristic of the residue field of any point of  $U$  is prime to  $m$ . Moreover, by the above claim, if we set  $U_A = U \cap B_A$  for  $A \in \mathcal{S}$ , then  $\mathcal{X}_A$  is an abelian scheme over  $U_A$  and  $\text{codim}(U \setminus U_A) \geq 2$ .

**Claim 5.2.2.** *There is a finite Galois extension  $K'$  of  $K$  such that for any  $(A, \xi) \in \mathcal{S}$ , all  $m$ -torsion points of  $A$  belong to  $A(K')$ .*

For  $(A, \xi) \in \mathcal{S}$ , let  $K_A$  be the finite extension of  $K$  obtaining by adding all  $m$ -torsion points of  $A$  to  $K$ . Let  $V_A$  be the normalization of  $U$  in  $K_A$ . Then, it is well-known that  $V_A$  is étale over  $U_A$ . Moreover, by virtue of the purity of branch loci (cf. SGA 1, Exposé X, Théorème 3.1),  $V_A$  is étale over  $U$ . Let  $M$  be the union of finite extension  $K'$  of  $K$  such that the normalization of  $U$  in  $K'$  is étale over  $U$ . Then, it is easy to see that  $M$  is a Galois extension of  $K$ . Since  $K_A \subseteq M$ , we have a continuous homomorphism

$$\rho_A : \text{Gal}(M/K) \rightarrow \text{Aut}(A[m](\overline{K})) \simeq \text{Aut}((\mathbb{Z}/m\mathbb{Z})^{2g})$$

such that  $\ker(\rho_A) = \text{Gal}(M/K_A)$ . Since  $\text{Gal}(M/K) = \pi_1(U)$ , by [2, Hermite-Minkowski theorem in Chapter VI], we have only finitely many continuous homomorphisms

$$\rho : \text{Gal}(M/K) \rightarrow \text{Aut}((\mathbb{Z}/m\mathbb{Z})^{2g}).$$

Thus, there are only finitely many Galois groups  $\{\text{Gal}(M/K_A)\}_{A \in \mathcal{S}}$ . Therefore,  $\{K_A\}_{A \in \mathcal{S}}$  is finite as a subfield of  $M$ . Thus, we get our claim.

**Claim 5.2.3.** *For any  $(A, \xi), (A', \xi') \in \mathcal{S}$ ,  $(A, \xi) \times_K \text{Spec}(K') \simeq (A', \xi') \times_K \text{Spec}(K')$ .*

There is a finite Galois extension  $K''$  of  $K'$  such that an isomorphism

$$\phi : (A, \xi) \times_K \text{Spec}(K'') \rightarrow (A', \xi') \times_K \text{Spec}(K'')$$

is given over  $K''$ . Let  $\alpha$  be an  $m$ -level structure of  $A$  over  $K''$  and  $\alpha' = \phi \cdot \alpha$ . Then,  $\epsilon(K''/K', A \times_K \text{Spec}(K'), \alpha) = \epsilon(K''/K', A' \times_K \text{Spec}(K'), \alpha') = 1$  because all  $m$ -torsion points of  $A$  and  $A'$  are defined over  $K'$ . Thus,  $A \times_K \text{Spec}(K'') \rightarrow A' \times_K \text{Spec}(K'')$  descends to an isomorphism  $(A, \xi) \times_K \text{Spec}(K') \rightarrow (A', \xi') \times_K \text{Spec}(K')$  by Lemma 5.1.

Finally, let us see the number of isomorphism classes in  $\mathcal{S}$  is finite. Let us fix  $(A_0, \xi_0) \in \mathcal{S}$  and an  $m$ -level structure  $\alpha_0$  of  $A_0$  over  $K'$ . Let  $\phi_A : (A_0, \xi_0) \times_K \text{Spec}(K') \rightarrow (A, \xi) \times_K \text{Spec}(K')$  be an isomorphism over  $K'$ . We set  $\alpha_A = \phi_A \cdot \alpha_0$  and  $\phi_{A'}^A = \phi_{A'} \cdot \phi_A^{-1} : A \times_K \text{Spec}(K') \rightarrow A' \times_K \text{Spec}(K')$  for  $(A, \xi), (A', \xi') \in \mathcal{S}$ . Then,  $\alpha_{A'} = \phi_{A'}^A \cdot \alpha_A$ . Here let us consider a map

$$\gamma : \mathcal{S} \rightarrow \text{Hom}(\text{Gal}(K'/K), \text{Aut}((\mathbb{Z}/m\mathbb{Z})^{2g}))$$

given by  $\gamma(A) = \epsilon(K'/K, A, \alpha_A)$ . By Lemma 5.1, if  $\gamma(A) = \gamma(A')$ , then  $(A, \xi) \simeq (A', \xi')$  over  $K$ . Moreover,  $\text{Hom}(\text{Gal}(K'/K), \text{Aut}((\mathbb{Z}/m\mathbb{Z})^{2g}))$  is a finite set. Therefore, we get our proposition.  $\square$

## 6. STRONG FINITENESS

In this section, we give the proof of the main result of this note.

**Theorem 6.1.** *Let  $K$  be a finitely generated field over  $\mathbb{Q}$  with  $d = \text{tr.deg}_{\mathbb{Q}}(K)$ . Let  $\overline{B} = (B; \overline{H}_1, \dots, \overline{H}_d)$  be a generically smooth and strictly fine polarization of  $K$ . Then, for any numbers  $c$ , the number of isomorphism classes of abelian varieties defined over  $K$  with  $h_{\text{Fal}}^{\overline{B}}(A) \leq c$  is finite.*

*Proof.* Let us consider the following two sets:

$$\begin{aligned} \mathcal{S}_0(c) &= \left\{ (A, \xi) \mid (A, \xi) \text{ is a principally polarized abelian variety over } K \text{ with } h_{\text{mod}}^{\overline{B}}(A) \leq 8c \right\} \\ \mathcal{S}(c) &= \left\{ A \mid A \text{ is an abelian variety over } K \text{ with } h_{\text{Fal}}^{\overline{B}}(A) \leq c \right\} \end{aligned}$$

Then, by Corollary 4.2,  $\{(A, \xi) \times \text{Spec}(\bar{K}) \mid (A, \xi) \in \mathcal{S}_0(c)\} / \simeq_{\bar{K}}$  is finite. By Zarhin's trick (cf. [10, Exposé VIII, Proposition 1]), for an abelian variety  $A$  over  $K$ ,  $(A \times A^\vee)^4$  is principally polarized. Moreover,

$$h_{\text{mod}}^{\overline{B}}((A \times A^\vee)^4) = 8h_{\text{mod}}^{\overline{B}}(A).$$

by Proposition 3.3 and Proposition 3.5. Thus, if  $A \in \mathcal{S}(c)$ , then  $(A \times A^\vee)^4 \in \mathcal{S}_0(c)$ . Here, the number of isomorphism classes of direct factors of  $(A \times A^\vee)^4 \times_K \text{Spec}(\bar{K})$  is finite (cf. [10, Exposé VIII, Proposition 2]). Thus,  $\{A \times_K \text{Spec}(\bar{K}) \mid A \in \mathcal{S}(c)\} / \simeq_{\bar{K}}$  is finite. In particular, there is a constant  $C$  such that  $C \leq h_{\text{mod}}^{\overline{B}}(A)$  for all  $A \in \mathcal{S}(c)$ .

Let  $K_A$  be the minimal finite extension of  $K$  such that  $A[12](\bar{K}) \subseteq A(K_A)$ . Then,  $[K_A : K] \leq \# \text{Aut}((\mathbb{Z}/12\mathbb{Z})^{2g})$ . Let  $B_A$  be a generic resolution of singularities of the normalization of  $B$  in  $K_A$ . By Proposition 1.5.1,  $A \times_K \text{Spec}(K_A)$  has semi-abelian reduction in codimension one over  $B_A$ . Thus, by Proposition 3.1, there is an effective divisor  $E_A$  on  $B$  with

$$h_{\text{Fal}}^{\overline{B}}(A) - h_{\text{mod}}^{\overline{B}}(A) = \frac{\widehat{\deg}(\widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d) \mid E_A)}{[K_A : K]}.$$

Here  $h_{\text{mod}}^{\overline{B}}(A) \geq C$  for all  $A \in \mathcal{S}(c)$ . Thus, we can find a constant  $C'$  such that

$$\widehat{\deg}(\widehat{c}_1(\overline{H}_1) \cdots \widehat{c}_1(\overline{H}_d) \mid E_A) \leq C'$$

for all  $A \in \mathcal{S}(c)$ . Therefore, by virtue of Proposition 1.10.1, there is a reduced effective divisor  $D$  on  $B$  such that, for all  $A \in \mathcal{S}(c)$ ,  $A$  has semi-abelian reduction in codimension one over  $B \setminus D$ . Hence, by Proposition 5.2, we have our assertion.  $\square$

**Remark 6.2.** If the problem in Remark 1.10.3 is true, then Theorem 6.1 holds even if the polarization  $\overline{B}$  is fine.

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